1 2

Carbon deficit checks in high resolution and compensation under regional inequity

3 Abstract: Carbon compensation is an effective way of reducing carbon emissions. However, previous studies in this 4 field have been limited and have not examined high-precision scientific carbon compensation under regional inequity. 5 The present study examined initial carbon compensation in the grid and developed a new equitable carbon 6 compensation model. Additionally, it modified the carbon compensation value for each province and analysed how 7 land-use change affected carbon compensation. The results show that, after the modification, the entire carbon deficit 8 reached 17.34×10^8 t C in 2015, representing a decrease of 14% compared with the initial carbon deficit. The area 9 with negative carbon deficit values accounted for 36% of the whole area, concentrated mainly in the south, southwest 10 and northwest. Without modification, the initial carbon compensation reached 537 \times 10⁸ USD, and only Yunnan, 11 Sichuan and Hainan provinces being eligible to receive compensation. The final modified carbon compensation was 12 approximately 20% of the initial values, and 11 provinces were eligible to obtain compensation. The other provinces 13 responsible for paying the carbon compensation costs were typically concentrated in Central and Eastern China. 14 Land-use changes in 2015 led to increases in the initial carbon compensation and modified carbon compensation of 15 3.74×10^8 and 0.13×10^8 USD, respectively. The per-unit land-use change caused greater increases in carbon 16 emissions in China's big cities and the provinces in Central and East China. Some policies, such as macro-control 17 by the central government, diversified forms and patterns of compensation, and auxiliary measures should be 18 formulated/proposed.

19 Keywords: carbon deficit; carbon compensation; land-use change; regional inequity; China

20 1. Introduction

21 As the largest carbon emitter, China has promised to reach carbon neutrality by 2060. Increasing 22 carbon sinks and reducing carbon emissions are key strategies to achieving this target. However, during this process, a large number of energy-intensive industries will be eliminated, meaning many 23 24 people will lose their jobs. Furthermore, China has launched several national ecological restoration 25 projects (Wang et al., 2021a), and obvious greening has been identified in the country (Liu et al., 26 2014; Lu et al., 2018). China's contribution to greening the world has accounted for a 25% increase in global leaf area, although it contains only 6.6% of global vegetated area (Chen et al., 2019). These 27 28 measures will not only promote carbon sequestration but also affect people's survival (Wang et al., 29 2021a). Conversely, there are regions and enterprises in China that have emitted excessive amounts of carbon and consequently gained considerable economic profit. Wide inequities exist among the 30 different regions in China; thus, people who have made sacrifices to reduce carbon emissions or 31 32 increase carbon sinks need to receive payments from the beneficiaries, and carbon compensation 33 appears to be an effective way to both promote emission reduction and eliminate inequity.

34 Previous studies have been conducted on compensation mechanisms, standards and patterns 35 (Kollmuss et al., 2008; Li et al., 2013; Zhao et al., 2015b). Specific carbon compensation case 36 studies have mainly addressed forest carbon compensation (Gregory et al., 2016; Guillaume et al., 37 2017), agricultural carbon compensation (Xiong et al., 2016), tourism carbon compensation (Fei, 38 2012), regional lateral carbon compensation (Miao et al., 2019) and carbon market design (Li et al., 39 2018). There are both economic and non-economic forms of compensation. The economic form 40 accounts for the majority, the core of which is the compensation economy value calculation. There 41 are different methods for evaluating carbon value, including the trade market method, the afforestation cost method, the income method and the carbon tax method (Lin & Ge, 2019). Among 42 43 these, the trade market method is the most frequently used. Currently, according to data from 44 China's carbon emissions trade website (www.tanpaifang.com/), the carbon emission trade price in 45 China is approximately 5.6–8.4 USD/t C, which is much lower than that in the European Union 46 (EU). The per-unit carbon trade price is affected by various factors, such as the political attitude 47 towards the implementation of carbon emission reduction policies, the market's supply and demand 48 relationship, the energy price, the energy policy and the industry index (Fan & Todorova, 2017; 49 Shen et al., 2020; Yin et al., 2019).

50 China's carbon emissions trade market is still yet to become mature. In July 2021, China began to expand its carbon emissions trade from the seven original carbon trading pilots to the whole of 51 52 China, while the tradable industries boundary also expanded from the traditional power industry to 53 many other sectors. Additionally, the first blue carbon trade project involving mangroves was 54 successfully completed in Guangdong Province. This set a positive example to extend other carbon 55 sink trade projects, which are not limited to forests but may also incorporate other carbon sinks 56 items as well as grass and crops. This means that the study of carbon compensation in China will be 57 more practical and meaningful.

58 Except for the carbon trade price, the basic data for determining carbon compensation is the 59 carbon source/sink capacity. The evaluation of China's carbon sinks still has significant 60 uncertainties, with the total amount varying widely between different studies. In most previous 61 studies, carbon sink accumulations in China have been conducted around 0.1–0.4 Pg C/yr (Chuai et 62 al., 2018; Wang et al., 2021b). However, a recent examination found a large Chinese land carbon 63 sink of 1.11 ± 0.38 Pg C/yr during 2010–2016 (Wang et al., 2020). Therefore, the accuracy still 64 needs to be improved with the help of increased field observations. Additionally, when using carbon 65 sinks in carbon compensation, studies have usually quoted empirical coefficients or used the mean 66 sink value of a certain ecosystem to study different regions, which has greatly exacerbated the errors. 67 Using the amount of anthropogenic carbon emissions usually results in less bias, some studies have 68 also examined carbon emissions at a grid scale in the spatial dimension. For example, the Carbon Dioxide Information Analysis Center (CDIAC) has provided carbon emission products with a 69 resolution of $1^{\circ} \times 1^{\circ}$ (CDIAC, 2020), the Emissions Database for Global Atmospheric Research 70 71 (EDGAR) has provided carbon emission products since 1970 with a resolution of $0.1^{\circ} \times 0.1^{\circ}$ (Crippa 72 et al., 2018). These achievements can support addressing the carbon deficit on a more detailed scale. 73 However, most studies are still carried out on the regional scale, and lateral compensations are 74 usually made on the provincial scale in China (Miao et al., 2019; Wan et al., 2020; Yang et al., 2019). 75 Another problem that affects scientific compensation is regional inequity, in terms of both inter-76 regional differences and the influence of regional interactions. China's physical and geographical 77 conditions differ greatly, with heavy precipitation and high temperatures in the southeast but the 78 drier arid and semi-arid climate zones in the northwest. Such physical conditions create regional 79 variations in terrestrial ecosystem carbon sinks. Large areas of the south and east have a high carbon 80 sink capacity, while the capacity in the northwest is much lower (Pan et al., 2015). These physical 81 conditions also make the distribution of populations and anthropogenic carbon emissions extremely 82 spatially uneven, with the south and east (especially the coastal regions) having the most intensive 83 emission concentrations (Li et al., 2020). Some carbon compensation research has been conducted 84 with consideration of regional physical and socioeconomic differences, and the relative carbon 85 deficit model was developed to modify regional carbon deficits (Wan et al., 2020; Yang et al., 2019). 86 With rapid economic and social development, regional interactions have become more frequent 87 and more tightly linked. The multiregional input-output (MRIO) model is a widely used method to 88 examine the economy and perform the associated environmental assessment among regions and

89 sectors, and many researchers have used it to analyse embodied carbon emissions between regions 90 and the resulting regional inequalities (Li & Li, 2022; Pan et al., 2018; Tian et al., 2020; Tramberend 91 et al., 2019). Generally, previous studies have focused mainly on anthropogenic carbon emissions, 92 and some have also included terrestrial carbon; for example, Chuai et al. (2021) formulated the 93 theory that built-up land expansion in China is significantly affected by economic development and 94 examined external regions' carbon storage loss as embodied in domestic trade. While, these are 95 seldom used in carbon compensation research, if a model considers both regional interior differences 96 and regional carbon interactions, the accuracy of regional inequity will be improved.

97 It is well known that land-use change can significantly affect regional carbon balance. Initially, 98 scholars focused on whether there were significant differences in the influence on terrestrial 99 ecosystems of carbon changes in vegetation and soil resulting from the capacity of carbon sequestration of different land-use types (Don, et al., 2011; Houghton, 1995; Lai et al., 2016). 100 101 Generally, land-use change from land with a high biomass content will release carbon from 102 terrestrial ecosystems. Some scholars also believe that as the carrier of anthropogenic activities, 103 land-use change will also alter anthropogenic carbon emissions on the land surface (Fu et al., 2014; Zhang et al., 2018). The occupation of vegetated land (farmland, woodland and grassland) by built-104 105 up land is the most common land-transfer pattern in China (Ning et al., 2018) and generates a 106 considerable amount of carbon emissions. Therefore, land-use control and optimisation will play 107 critical roles in reducing carbon. Regarding carbon compensation, previous studies have not 108 considered carbon changes resulting from changes in land use.

109 This research makes innovations according to the shortfalls discussed above. Its objectives 110 include: (1) developing an inequity model able to consider interior regional differences and 111 interactions and re-check carbon deficits, (2) modifying lateral regional carbon compensation values 112 and (3) analysing land-use change and the associated carbon compensation and proposing certain 113 policy implications. Such research is meaningful for both carbon emissions reduction and regional 114 coordinated development.

115 2. Data and methodology

116 **2.1 Data**

This study used MRIO data, data on the geographical distribution of carbon emissions, data on 117 energy consumption among sectors and data on industry products and waste disposal. It also used 118 119 carbon emission coefficients, net ecosystem productivity (NEP) and land-use data. The study was 120 conducted in 2015, and all the selected data were from that year. The MRIO table was provided by 121 the China Emissions Accounts and Datasets (CEADS) (https://www.ceads.net/), which contains the 122 regional and sectorial links across China, including all 31 provinces except Hongkong, Macao and 123 Taiwan (Zheng et al., 2020). Spatial carbon emissions data were obtained from the EDGAR dataset (Crippa et al., 2018) at a resolution of $0.1^{\circ} \times 0.1^{\circ}$ (https://edgar.jrc.ec.europa.eu/). Provincial energy 124 125 consumption data were provided by the China Energy Statistical Yearbook 2016, while industry product and waste disposal data were obtained from the provinces' respective statistical yearbooks. 126 127 Carbon emissions coefficients were quoted mostly from the Intergovernmental Panel on Climate 128 Change (IPCC) (IPCC, 2006) and partly from other studies (Zhao et al., 2015a). The 1-km NEP 129 product was obtained from our previous research (Chuai et al., 2018). Land-use data were obtained 130 from the Ministry of Natural Resources of the People's Republic of China and included, it is a 131 statistical data for each province, with the land use types including the vegetated land (woodland, farmland and grassland), built-up land and unused land. Other economic and social data wereobtained from the *China Statistical Yearbook*.

134 **2.2 Research framework**

This research employed the framework shown in Fig. 1(a). First, the total amount of carbon 135 emissions from EDGAR for the whole of China is much closer to our calculation based on the 136 domestic data as mentioned above, but bias exists for some provinces. Accordingly, we made 137 adjustments for the EDGAR product according to our calculation result for provinces having bias. 138 According to EDGAR product, we were able to calculate the carbon emissions amount for each 139 140 province, and, we finally multiplied a coefficient calculated by the amount of statistical carbon emissions with the EDGAR emissions. Since the statistical data for carbon emissions did not include 141 142 Tibet, Hongkong, Taiwan and Macao, the study area did not include these regions (Fig.1(b)). Second, the NEP was converted into a low resolution to match the EDGAR products' resolution, and the 143 carbon deficit in spatial terms was checked within a resolution of $0.1^{\circ} \times 0.1^{\circ}$. In this study, the initial 144 145 carbon deficit and carbon compensation are defined as the carbon deficit and compensation without 146 consideration of regional interactions and regional interior modification. Third, the carbon 147 emissions embodied in domestic trade were calculated using the MRIO model, and regional carbon 148 emissions were modified by the trade-triggered carbon emissions among provinces. Fourth, carbon emissions and carbon sinks were further modified by regional interior differences, and the final 149 carbon compensation value was evaluated using the trade market method. Here, the modified carbon 150 151 deficit and carbon compensation indicate the carbon deficit and carbon compensation, which are 152 modified by considering both the domestic trade and regional interior inequity, the details are shown 153 in Eqs. (1)–(12).





Fig. 1 (a) The research framework and (b) the distribution of the provinces

157 **2.3 Analysis**

158 2.3.1 Calculation of direct anthropogenic carbon emissions

159 The carbon emissions from energy consumption, industrial production processes and waste disposal 160 were included in our calculations. The emissions coefficient method was used to calculate 161 anthropogenic carbon emissions (Chuai et al., 2022).

162 2.3.2 Carbon emissions embodied in domestic trade

163 The carbon emissions closely linked with the domestic trade considered here included agriculture, 164 commerce, and industry. The MRIO model based on environment expansion was used to calculate 165 the carbon emissions embodied in China's domestic trade at the provincial scale. We excluded the 166 column and row with Tibet from the matrix as we lacked the data on carbon emissions in Tibet. 167 Based on the fundamental relationship given in the MRIO table, a balanced input–output 168 relationship is expressed by Eq. (1):

$$AT + F = T \tag{1}$$

170
$$T = (I - A)^{-1} F$$
. (2)

171 where A is the direct consumption coefficients matrix, T refers to the total output matrix, and 172 F is the final use matrix. I is an $n \times \times n$ identity matrix, and $(I - A)^{-1}$ is the Leontief inverse 173 matrix. The carbon emissions embodied in domestic trade among provinces were calculated by Eq. 174 (3):

182

169

$$C = l(I - A)^{-1} F. (3)$$

176 where l represents the direct carbon emissions intensity vector, and C is the amount of carbon 177 emissions embodied in domestic trade among provinces. The MRIO analysis was conducted by the 178 using the MATLAB software.

179 2.3.3 Modified carbon deficit and compensation

According to the MRIO analysis, regional carbon emissions were modified by the amount of carbon
 emissions embodied in domestic trade, as shown below:

$$C_{ei} = C_i + (C_{i-import} - C_{i-export}).$$
⁽⁴⁾

183 C_{ei} represents the carbon emissions modified by the domestic trade in region *i*, C_i is the actual 184 carbon emissions in region *i*, and $C_{i-import}$ and $C_{i-export}$ are the total carbon emissions that region 185 *i* triggered to all the other regions in China and the reverse amount that other regions triggered to 186 region *i*, respectively, obtained from *C* in Eq. (3).

187 If we do not consider the inter-regional differences, the initial carbon deficit and carbon 188 compensation can be calculated using the following equations:

$$C_{di} = C_{ei} - C_{si} \tag{5}$$

$$ACC_{di} = C_{di} \times P_c \tag{6}$$

191 where C_{di} is the actual carbon deficit of region *i*, C_{si} is the regional carbon sink (t C/a), and 192 ACC_{di} is the payment for the carbon compensation of region *i*. Additionally, P_c is the unit 193 trading price of carbon emissions. Based on the based on current conversion exchange rate between 194 the USD and Renminbi (RMB), this is approximately 27.17 USD/t C (data from China's carbon 195 trade network: www.tanjiaoyi.com/).

For the consideration of inter-regional ecological background differences, the ecosystem service
value (*ESV*) was used to modify regional carbon sinks (Wan et al., 2020) as follows:

198
$$C_{rdi} = C_{ei} - C_{si} \times \alpha_i \tag{7}$$

199
$$\partial_i = 1 + (ESV_i - \overline{ESV}) / \overline{ESV}$$
(8)

200 where C_{rdi} is the first modified carbon deficit of region *i*, and α_i is the regional modified

201 coefficient carbon sink. The terms ESV_i and \overline{ESV} are the ESV for region *i* and the mean value 202 for all regions, respectively. We produced the ESV across China using the method proposed by Xie 203 et al. (2017).

204 Then, regional populations and land were considered to make further modifications:

$$\eta_i = \frac{P_i \times L_i}{\sum P_i \times L} \tag{9}$$

206
$$C_i = C_{rdi} - \eta_i \sum C_{rdi}$$
(10)

here, η_i is the regional carbon compensation coefficient, which is calculated from P_i (population in region *i*) and L_i (land area in region *i*), and C_i is the final modified carbon deficit for region *i*.

Finally, considering the differences in regional economic development, the carbon compensation coefficient was used to calculate the final carbon compensation as follows:

$$ACC_i = C_i \times P_c \times \theta_i \tag{11}$$

213
$$\theta_i = G_i / G_T (1 + a e^{-bt})$$
 (12)

where ACC_i is the final carbon compensation value of region *i*, θ_i is the carbon compensation coefficient of region *i*, G_i represents the gross domestic product (GDP) of region *i*, G_T represents the national GDP, *a* and *b* are constants numerically equal to 1, and *t* is the national Engel coefficient.

218 2.3.4 Carbon compensation variations from land-use change

219 To calculate the carbon compensation variations from land-use change, the first step is to determine 220 the carbon emissions caused by land-use change. The calculation process is as follows:

221
$$\mathbf{C}_{i-change} = \sum_{j=1}^{n} L_{j-begin} \times D_j - \sum_{j=1}^{n} L_{j-end} \times D_j$$
(13)

where $C_{i-change}$ represents the carbon emissions caused by land-use change in province *i*; $L_{j-begin}$ and L_{j-end} are the areas of land-use type *j* at the start and end of 2015, respectively; and D_j is the carbon sink or carbon emissions capacity of land-use type *j* in 2015.

Then, $C_{i-change}$ can be used to replace C_{di} , the initial carbon compensation without modification can be calculated by Eq. (6), and $C_{i-change}$ can be used to replace C_{rdi} . The final carbon compensation after modification can be calculated by Eqs. (10)–(12).

228 **3. Results**

205

229 3.1 Modified carbon deficit and changes

The modified carbon deficit was calculated using Eq. (10). Fig. 2(a) shows that, after the modification, the entire carbon deficit reached 17.34×10^8 t C in the year 2015, representing a

decrease of appropriately 2.42×10^8 t C compared with the initial carbon deficit without 232 modification. The area with positive carbon deficit values accounted for 63.97% of the area shown 233 234 in Fig. 2(a); higher values were more concentrated in the east and especially in the Circum Bohai 235 Sea economic sphere and the Yangtze River/Pearl River economic zones. The remaining area, which 236 was mainly concentrated in the south, southwest and northwest, should receive carbon compensation. The changes shown Fig. 2(b) reveal that 38.1% of the area needs to increase its 237 238 carbon deficit after modification, with this area being mainly concentrated in Central, Eastern and 239 Southern China. The area with decreased carbon compensation has a wide distribution across China, especially in the south, west, north and northeast. 240





242 243

Fig. 2 (a) Modified carbon deficit and (b) the changes compared with the initial carbon deficit (in 2015

Fig. 3 shows that the three provinces of Yunnan, Sichuan and Hainan have negative carbon 244 deficits. This means they have more carbon sinks than carbon sources, especially Yunnan ($-1.8 \times$ 245 10⁸ t C). Yunnan also had the highest carbon deficit decrease compared with its initial carbon deficit 246 $(-0.78 \times 10^8 \text{ t C})$. For provinces with positive carbon deficits, Shandong has the highest $(1.91 \times 10^8 \text{ t C})$. 247 10⁸ t C), followed by Hebei, Jiangsu, Guangdong, Henan, Inner Mongolia and Liaoning, with values 248 varying between 1.9×10^8 and 1.08×10^8 t C. The other provinces have positive carbon deficits 249 lower than 1×10^8 t C. After modification, 19 provinces exhibited a decreases in their carbon 250 251 deficits. After Yunnan, the provinces of Qinghai and Xinjiang had the second- and third-highest 252 decreases. Fujian, Hebei, Guizhou and Inner Mongolia had similar decrease values ranging from -0.18×10^8 to -0.13×10^8 t C. For the 11 provinces with carbon deficit increases, the increases 253 were much lower. Henan had the highest increase at 0.14×10^8 t C, while the others were all lower 254 255 than 0.1 \times 10⁸ t C.

256





Fig. 3 (a) The modified carbon deficit and (b) the changes compared with the initial carbon deficit

260

3.2 Initial carbon compensation without modification

The initial carbon compensation reached 537 $\times 10^8$ USD. Fig. 4(a) shows the differences in carbon 261 compensation within a 0.1° \times 0.1° grid. The negative-value area indicates the areas that should 262 receive carbon compensation. They are intensively distributed in the southwest, with large areas 263 showing carbon compensation values higher than 50 \times 10⁴ USD/grid. Additionally, the coastline 264 265 region and a large area in the northeast should receive a considerable amount of carbon compensation. A total of 60.14% of the entire area (mainly located in Northern, Central and Eastern 266 China) should pay for net carbon emissions. The positive carbon compensation values are much 267 higher than the negatives, with a large area showing values higher than 50 \times 10⁴ USD/grid, 268 especially in certain national economic regions, including the Circum Bohai Sea economic sphere 269 and the Yangtze River/Pearl River economic zones. 270

271 Fig. 4(b) shows the carbon compensation differences among provinces. The three provinces of Yunnan, Sichuan and Hainan should receive carbon compensation, and Yunnan's should be almost 272 273 eight times higher than Sichuan's. Hebei Province should pay for the highest carbon compensation 274 of 27.4×10^8 USD, followed by Shandong, Jiangsu, Guangdong, Inner Mongolia, Xinjiang, 275 Liaoning, Henan, Shanxi, Qinghai and Zhejiang, with compensation values ranging from $51.21 \times$ 276 10^8 to 21.98×10^8 USD. Eight provinces have carbon compensation values higher than 10×10^8 but lower than 20 \times 10⁸ USD. The remaining provinces all have carbon compensation values 277 below 10 \times 10⁸ USD. 278



279

(a) (b)
 Fig. 4 (a) Initial carbon compensation values and (b) total compensation values for different provinces in2015

282 3.3 Final modified carbon compensation

283 After the comprehensive modification, which considered both regional interactions and regional 284 interior differences, the resulting carbon compensation is shown in Fig. 5. The results reveal that the total carbon compensation is approximately 20% of the initial compensation. Fig. 5(a) shows 11 285 provinces with negative compensation values, who should all receive compensation. Sichuan and 286 Yunnan should receive the highest amount (both -22.88×10⁸ USD), and Xinjiang should receive 287 the third-highest amount (-10.16×10^8 USD). Heilongjiang, Inner Mongolia, Hunan and Guangxi 288 should follow, with values varying between -4.63×10^8 and -2.49×10^8 USD. The other four 289 provinces (Guizhou, Jiangxi, Gansu, and Hainan) have carbon compensation values close to 1 × 290 291 10⁸ USD. Spatially, the provinces that should receive final modified carbon compensation are mainly located in the south and north of China; the remaining 19 provinces that should pay for 292 293 compensation are typically concentrated in East and Central China. Jiangsu should pay the highest amount of carbon compensation at 31.99×10^8 USD. Shandong and Shanghai exhibit the second-294 295 and third-highest compensation amounts of 22.46×10^8 and 18.93×10^8 USD, respectively. Liaoning, Hebei, Tianjin, Beijing, and Zhejiang also have high compensation values above 10×10^8 USD. 296



297

(a) (b)
 Fig. 5 (a) Final modified carbon compensation for different provinces (10⁸ USD) and (b) the percentage change
 compared with the initial carbon compensation (%)

Fig. 5(b) shows the percentage change compared with the initial carbon compensation as shown in Fig. 4(b). The three provinces of Beijing, Tianjin and Shanghai have had their carbon compensation increased, but the percentages are not high, with Beijing at 26.06% and Tianjin and Shanghai at 19.37% and 15.29%, respectively. The other provinces all exhibited a decrease with much higher percentage values. Sichuan had the highest decrease rate of -467%. Nine provinces, which are located mainly in the south and north, had decrease rates of between -146% and -111%. The remaining provinces had decrease rates of between -99% and -25%.

308 3.4 The influence of land-use changes on carbon compensation

In 2015, all the provinces had their built-up land area increased, with the increased area reaching 3,960 km² for China a whole (Fig. 6 (a)). Xinjiang had the highest increase of 382 km². For the other provinces, the increases were all below 300 km². Guangdong, Hebei, Shandong, Sichuan, Henan, Inner Mongolia and Jiangxi followed, with areas decreased from 280 to 203 km². The increase in built-up land is affected significantly by regional areas; for example, Beijing, Tianjin and Shanghai have the lowest area increases. In terms of increase rates, Guizhou, Ningxia and

315 Chongqing are the only three provinces with rates higher than 1%. Guizhou was particularly high at 1.88%. Fujian, Shaanxi, Yunnan, Hebei, Jiangxi and Guangdong also had high increase rates 316 317 above 0.8%. Shanghai and Jiangsu had the lowest values of 0.01% and 0.06%, respectively. The 318 decrease in vegetated land corresponds well with the increase in built-up land (Fig. 6(b)). 319 Guangdong, Hebei, Henan, Xinjiang, Jiangxi, Shandong and Inner Mongolia all exhibited decreases 320 in vegetated land area of more than 200 km². Beijing and Tianjin had the lowest decreases in 321 vegetated land area of 14 and 11km², respectively. Additionally, Shanghai and Chongqing exhibited 322 an increase in vegetated land area. Regarding the decrease rate, the values were higher for provinces 323 in the east, especially for coastal regions, while the provinces in the west had lower values.



(a) (b)
 Fig. 6 (a) Built-up land expansion (km²) and (b) the changes in vegetated land area (km²) for different provinces in
 2015

324

328 Table 1 shows that the change in land-use type in all provinces led to increased carbon emissions. 329 Land-use change in Shanghai generated the highest carbon emissions increase per unit area (10500 330 t/km²), while Beijing, Shanxi, Tianjin and Hebei also exhibited high increases (between 7828 and 331 6559 t/km²), indicating the high intensity of carbon emissions in these regions. Qinghai exhibited 332 the lowest increase of just 432 t/km². Generally, increases have been higher in big cities and 333 provinces in Central and East China, while lower values are found in the northwest and Yunnan. In 334 2015, the land-use type change caused a 3.74×10^8 USD increase in initial carbon compensation, 335 which is approximately 0.7% of the total initial carbon compensation. Hebei had the highest increase 336 of 0.47×10^8 USD, followed by Guangdong, Shandong, Guizhou and Sichuan, with increases of 337 between 0.36×10^8 and 0.2×10^8 USD. Shanghai, Qinghai, and Yunnan had the lowest increases. 338 Regarding the modified carbon compensation, land use-type change in 2015 caused the total 339 compensation amount to increase by 0.13×10^8 USD. The top two provinces that should pay for carbon compensation are Hebei and Guangdong (0.14×10^8 and 0.1×10^8 USD, respectively). 340 341 Zhejiang, Fujian and Shandong should also pay for high carbon compensation (between 0.09×10^8 and 0.08 \times 10⁸ USD). In total, 13 provinces should receive compensation, with Inner Mongolia 342 and Sichuan having the highest levels (0.14×10^8 and 0.13×10^8 USD, respectively). 343

Table 1 Carbon emissions density changes, initial carbon compensation and modified carbon compensation
 variations (10⁴ USD) caused by land-use type changes

Provinces	Carbon	Initial	Modified	Provinces	Carbon	Initial	Modified
	density	compensation	compensation		density	compensation	compensation

	changes (t/km ²)	(10 ⁴ USD)	(10 ⁴ USD)		changes (t/km ²)	(10 ⁴ USD)	(10 ⁴ USD)
Beijing	7828	298	296	Hubei	2574	1200	-20
Tianjin	6841	124	121	Hunan	2520	932	-326
Hebei	6559	4710	1368	Guangdong	4715	3591	1010
Shanxi	6857	982	126	Guangxi	3110	1303	5
Inner Mongolia	2498	1441	-1411	Hainan	2338	208	76
Liaoning	5180	1174	318	Chongqing	4607	1220	533
Jilin	2529	358	-134	Sichuan	3432	2041	-987
Heilongjiang	1642	396	-675	Guizhou	5557	2701	647
Shanghai	10500	19	-3	Yunnan	1051	86	-620
Jiangsu	3692	341	-572	Shaanxi	4572	1476	305
Zhejiang	5069	1756	934	Gansu	2287	788	-116
Anhui	2078	317	-259	Qinghai	432	80	-179
Fujian	4983	1717	873	Ningxia	5404	862	379
Jiangxi	2233	1235	149	Xinjiang	1215	1262	-1352
Shandong	4406	2869	775	China	3119	37350	1298
Henan	3171	1863	34				

346 4. Discussion

347 Carbon compensation values are determined by carbon sinks, carbon emissions and the per-unit carbon price. For the use of carbon sinks in carbon compensation, previous studies have typically 348 used the mean carbon sink values of different land-use types in certain regions or empirical data 349 350 from other studies as the basic data. However, they have ignored the graphical and time-point 351 matching between the quoted carbon sink values and the applicability for the study area; since there always exists obvious spatial heterogeneity for carbon sink/source capacities even within areas of 352 353 the same land-use type. Additionally, the concept of carbon sink capacity has been used 354 inconsistently, for example, using NEP as the carbon sink capacity for woodland and grassland but 355 vegetation carbon storage as the carbon sink for agricultural land (Wan et al., 2020; Yang et al., 2019). Thus, such an evaluation is unrefined and may cause some bias in carbon compensation. In 356 this study, NEP is used as the terrestrial carbon sink/source capacity, which will help reduce the bias 357 358 and can support the carbon deficit check on the grid scale.

359 Our examination of the carbon deficit at the grid scale is the first attempt to show carbon compensation spatially in high resolution. The calculation of anthropogenic carbon emissions has a 360 mature accounting system and method, and the total amounts derived from DEGAR and our 361 362 domestic calculation results are very close. In addition, we performed a modification for each 363 province for the EDGAR grid product based on our calculation, which further improved the 364 accuracy. Accordingly, the accuracy of the basic data for carbon compensation is higher than that in 365 previous studies. We used the market price method for calculating carbon compensation values. The 366 mean carbon emission exchange price in China from 2013 to 2017 was approximately 16.1 USD/t 367 C (Wang et al., 2020), and more recently, it was approximately 24.21 USD/t C, indicating a rapid increase in the mean carbon trade price. However, this is still lower than in the EU, and the economic 368 cost of extra carbon emissions is still relatively low. The carbon price can be affected by many 369 factors, including energy and financial markets, consumption preferences and meteorological and 370

political factors. With policy changes, carbon compensation providers may pay much higher prices
in future (Brink et al., 2016; Ji et al., 2018; Pradhan et al., 2017). Based on the abovementioned data,
carbon compensation can be achieved at the prefectural level, e.g. the county, township and village
level. Overall, carbon compensation can be made more precise in terms of both data accuracy and
resolution.

376 In China, spatial heterogeneity is obvious regarding both natural conditions and the level of 377 socioeconomic development. As the precipitation decreases from the southwest to the northeast, the 378 amount of biomass shows a similar pattern, determining the capacity of terrestrial ecosystem carbon 379 sinks/sources and providing higher-capacity carbon sinks in the south and lower-capacity carbon 380 sinks or even terrestrial ecosystem carbon sources in the northwest (He et al., 2022). Furthermore, 381 the different natural conditions determine population distribution, anthropogenic activities and 382 regional development. The east and south have relatively better natural conditions - they feed a 383 large population and produce a high GDP – although they also generate high carbon emissions. Thus, 384 compensation needs to seriously consider interior-regional differences to provide a sufficiently 385 equitable compensation mechanism. Some studies have considered the difference in carbon efficiencies and believe carbon emissions with high efficiency should be associated with less 386 387 responsibility for compensation (Wan et al., 2020). However, we think that there may be certain 388 other problems; for example, the carbon efficiency in Beijing is higher than that in other provinces 389 (Zhan, 2021), and besides technological improvements, the structure of industries may play a key 390 role. In addition, a large number of energy-intensive industries have been relocated to other regions, especially in Hebei Province. In this respect, we do not agree with the findings of previous studies. 391 392 In this study, we used land area, population and economic conditions to modify carbon emissions 393 and compensation values, which we consider to be a more reasonable approach (Yang et al., 2019; 394 Wang et al., 2020). The regional carbon sink is modified by the ESV based on the consideration that 395 terrestrial ecosystems can provide other ecological services. By this assumption, regional carbon 396 payments should be increased, and this modification will further improve regional inequity (Chen 397 & Jiang, 2018). Surely, there is still room to consider additional factors and develop more scientific 398 models to provide equitable carbon compensation. At this stage, our study attempts to provide 399 inspiration for future studies.

400 Another factor that can affect regional inequity but is not considered in carbon compensation 401 studies is regional interaction. Through trade, considerable carbon emissions are transferred 402 between regions and countries (Tan & Lin, 2022; Wang & Yang, 2020), and in China, a regular 403 pattern of developed regions transferring carbon emissions to undeveloped regions exists (Chuai et 404 al., 2021). The current domestic pattern is determined by various factors, such as the physical conditions and development strategies. For example, since the economic reform began in 1978, the 405 406 central government has given national investments and preferential policies to support the development of some coastal regions, which have also benefited from richness in local physical 407 408 resources and convenient transportation; these regions were thus prioritised regarding development 409 and achieved a high economic level. Meanwhile, to obtain economic profits, the less-developed 410 inland regions began to provide a considerable amount of resources or certain industrial products for the developed regions. In this way, indirect carbon emissions were generated. To alleviate this 411 412 inequity, this study integrated the MRIO model with the carbon compensation modification model 413 to help create more equitable lateral compensation.

414 The final lateral compensation determined in our study is consistent with previous studies in that

415 it suggests that the provinces that should receive carbon compensation are located mainly in 416 underdeveloped regions, particularly in the northwest and southwest, while those that should pay carbon compensation costs are concentrated mainly in developed regions in Central and East China 417 (Miao et al., 2019; Yang et al., 2019). There is also some bias. For example, in a previous study, the 418 results showed that certain developed regions, such as Guangdong (Wan et al., 2020), should also 419 420 receive carbon compensation. However, because our study considers interregional interactions, we 421 think our results may be more reasonable. These results are conducive to both carbon reduction and 422 harmonious development. Since developed regions usually have high carbon emission levels, the 423 proposed economic payment will force them to control their carbon emissions. Payments to 424 undeveloped regions can, to some extent, help to eliminate economic difficulties, and the 425 compensation may also help to strengthen fragile ecological environmental protection or carbon 426 emissions reduction.

427 Land-use changes, especially for built-up areas occupying vegetated land, are more frequent in 428 developed regions in the east (Deng & Li, 2016). In our study, this regularity may not be obvious 429 since a change of only one year is considered. Due to high land capacity, the per-unit land-use transfer in the east will cause much higher carbon emissions. This is determined not only by the 430 431 high carbon emissions intensity of built-up land but also by the loss of vegetated land, which will 432 result in the loss of a considerable amount of carbon from the terrestrial ecosystem (Zhang et al., 433 2015); thus, strict land-use control is needed. In the western part of China, since ecological 434 environment is very fragile, and land degradation frequently occurs (Kang et al., 2021), the protection of vegetated land and ecological restoration is needed and should be strengthened as this 435 can not only increase carbon sinks but also improve the ecological environment. 436

437 This study has some limitations. First, for data limitation and matching, we selected the year 2015 438 for our case study, which may not accurately reflect the most recent situation. If all data become available, this could be updated. Second, we used the average carbon emissions trade value of China, 439 440 which may not be entirely appropriate for every province, and the price of carbon sinks also may 441 not be appropriate in terms of carbon emissions. Third, we made grid-scale carbon compensations 442 for the initial carbon deficit but not for the final modification. One reason for this is the accessibility 443 limitations of the grid data, while another is the applicability of the model at the grid scale. This 444 may be a valuable direction for guiding innovative studies in the future. Fourth, due to the source 445 (survey) data, the MRIO model's sector detail, the regional coverage and the number of environmental extensions, the MRIO analysis also contained some uncertainties (Lin et al., 2014). 446

447 **4. Policy implications**

Some policy implications are here proposed according to the findings. First, the government should 448 449 keep increasing the establishment of field observations to reduce the uncertainties in terrestrial ecosystem carbon sink/source capacity evaluation. Second, strategies should be devised to support 450 451 the research on anthropogenic carbon emissions checks in high resolution, addressing both spatial 452 and time intervals, which will assist in providing more accurate carbon compensation. Third, the central government should strengthen its macro-control, create and improve laws and regulations to 453 454 coordinate interregional carbon compensation (e.g. the compensation mechanism), institutionalise 455 and standardise carbon compensation, establish pilot studies, and make gradual improvements to 456 and disseminate carbon compensation to the whole country. Fourth, diversified forms of 457 compensation should be encouraged, for example, allowing carbon compensation payers to also 458 provide technological or strategic support to compensation receivers to help improve carbon 459 efficiency and decouple local economic development from carbon emissions. Aside from government-charged compensation, other forms should also be encouraged, such as individual 460 carbon compensation in the form of tree planting, household carbon reduction encouragement and 461 462 extra emissions punishment, carbon compensation through the integration of land trade, and land 463 carbon level evaluation and management. Finally, auxiliary measures should be formulated, for 464 example, financial regulations should be implemented via carbon funds, carbon taxes, and low-465 carbon loans.

466 **5. Conclusions**

467 According to the analysis performed in this study, the following conclusions can be drawn. Currently, 468 China faces a great gap in its journey to achieve carbon neutrality. Lateral carbon compensation is 469 an effective way to achieve both carbon emissions reduction and harmonious regional development. 470 Wide regional inequities exist across China, and carbon compensation needs to seriously consider 471 the inequity caused by both regional interactions and interior differences. The developed regions, 472 which are mainly located in China's eastern and coastal areas, usually benefit from economic profits 473 but also have a high carbon deficit, so they should pay for carbon compensation. The areas that should receive carbon compensation are usually the less-developed regions, which are mainly 474 475 located in the south and north of China. Built-up land occupying ecological land is the main type of land-use change in China and can increase carbon compensation. The protection of ecological land 476 477 and control of the expansion of built-up land are the main land-use management tasks. The central 478 government should strengthen its macro-control and make and improve related laws and regulations 479 to coordinate interregional carbon compensation, while diversified forms of compensation should 480 also be encouraged to promote the reduction of carbon emissions and help reduce the inequity in 481 regional development.

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