

# QCSP on semicomplete digraphs

Petar Dapić<sup>1</sup>, Petar Marković<sup>1\*</sup>, and Barnaby Martin<sup>2\*\*</sup>

<sup>1</sup> Department za matematiku i informatiku, University of Novi Sad, Serbia

<sup>2</sup> School of Science and Technology, Middlesex University,  
The Burroughs, Hendon, London NW4 4BT, U.K.

**Abstract.** We study the (non-uniform) quantified constraint satisfaction problem  $\text{QCSP}(H)$  as  $H$  ranges over semicomplete digraphs. We obtain a complexity-theoretic trichotomy:  $\text{QCSP}(H)$  is either in P, is NP-complete or is Pspace-complete. The largest part of our work is the algebraic classification of precisely which semicompletes enjoy only essentially unary polymorphisms, which is combinatorially interesting in its own right.

## 1 Introduction

The *quantified constraint satisfaction problem*  $\text{QCSP}(B)$ , for a fixed *template* (structure)  $B$ , is a popular generalisation of the *constraint satisfaction problem*  $\text{CSP}(B)$ . In the latter, one asks if a primitive positive sentence (the existential quantification of a conjunction of atoms)  $\Phi$  is true on  $B$ , while in the former this sentence may be positive Horn (where universal quantification is also permitted). Much of the theoretical research into CSPs is in respect of a large complexity classification project – it is conjectured that  $\text{CSP}(B)$  is always either in P or NP-complete [11]. This *dichotomy* conjecture remains unsettled, although dichotomy is now known on substantial classes (e.g. structures of size  $\leq 3$  [19, 6] and smooth digraphs [12, 2]). Various methods, combinatorial (graph-theoretic), logical and universal-algebraic have been brought to bear on this classification project, with many remarkable consequences. A conjectured delineation for the dichotomy was given in the algebraic language in [7].

Complexity classifications for QCSPs appear to be harder than for CSPs. Indeed, a classification for QCSPs will give a fortiori a classification for CSPs (if  $B \uplus K_1$  is the disjoint union of  $B$  with an isolated element, then  $\text{QCSP}(B \uplus K_1)$  and  $\text{CSP}(B)$  are polynomially equivalent). Just as  $\text{CSP}(B)$  is always in NP, so  $\text{QCSP}(B)$  is always in Pspace. However, no overarching polychotomy has been conjectured for the complexities of  $\text{QCSP}(B)$ , as  $B$  ranges over finite structures, but the only known complexities are P, NP-complete and Pspace-complete. It seems plausible that these complexities are the only ones that can be so obtained (for more on this see [9]).

---

\* The first and second authors were supported by the grant no. 174018 of the Ministry of Education and Science of Serbia.

\*\* The third author was supported by EPSRC grant EP/L005654/1.

In this paper we study the complexity of  $QCSP(H)$ , where  $H$  is a semicomplete digraph, i.e. an irreflexive graph so that for each distinct vertices  $x_i$  and  $x_j$  at least one of  $x_i x_j$  or  $x_j x_i$  (and possibly both) is in  $E(H)$ . We prove that each such problem is either in P, is NP-complete or is Pspace-complete. In some respects, our paper is a companion to the classifications for partially reflexive forests [16] and partially reflexive cycles [14], however our work here differs in two important ways. Firstly, this classification is a complete trichotomy instead of a partial classification between P and NP-hard. Secondly, this classification uses the algebraic method to derive hardness results, whereas in [16, 14] surjective polymorphisms appear only for tractability. Indeed, we believe our use of the algebraic method here is the most complex so far for any QCSP trichotomy complexity classification. The first published QCSP trichotomy appeared in (the preprints of) [5] and used relatively straightforward application of the algebraic method pioneered in the same paper. Subsequently, a combinatorial QCSP trichotomy appeared, essentially for irreflexive pseudoforests, in [17]. The task to unite [17, 16, 14], with the spirit of [10], to a QCSP trichotomy for partially reflexive pseudoforests, remains open-ended and ambitious. Two other notable trichotomies have appeared in the QCSP literature in the form of [3] and [4], though both are slightly unorthodox. The former deals with a variant of the QCSP, which allows for relativisation of the universal quantifier, and the latter deals with infinite equality languages.

Our work follows in the spirit of the CSP dichotomy for semicomplete digraphs given long ago in [1]. What we uncover is that the semicompletes with at most one cycle, whose CSPs are in P as per [1], beget QCSPs which remain in P. However, of the semicompletes with more than one cycle, whose CSPs are NP-complete, some produce QCSPs of maximal complexity while others remain no more than NP-complete. Our classification is as follows.

**Theorem 1.** *Let  $H$  be a semicomplete digraph.*

- *If  $H$  contains at most one cycle then  $QCSP(H)$  is in P, else*
- *$H$  contains a source and a sink and  $QCSP(H)$  is NP-complete, else*
- *$QCSP(H)$  is Pspace-complete.*

The tractability results, membership for both P and NP, are relatively straightforward and date back to the last author’s 2006 Ph.D. [15]. The natural conjecture was made (not in print) for the trichotomy but repeated efforts to settle it combinatorially failed. The present work arose from a discussion in Dagstuhl about two conjectures involving an algebraic approach, which had always been deemed appropriate as semicomplete digraphs are cores for which all polymorphisms are surjective. The first of these conjectures sought to deal with a large subclass of the semicompletes conjectured to be Pspace-complete, those with neither source nor sink (termed smooth). If it could be proved that all polymorphisms of smooth semicompletes with multiple (i.e. more than one) cycles are essentially unary, then it would be known from [5] that the corresponding QCSP is Pspace-complete. The largest part of this paper is in proving this result. The remaining cases are where there is more than only one cycle and no source (dually resp., sink) but there is a sink (dually resp., source). Suppose then, w.l.o.g.,

that  $H^{+m}$  is built from a smooth semicomplete with multiple cycles  $H$  by iteratively adding  $m$  sinks. Suppose  $K_n$  is the irreflexive  $n$ -clique and let  $K_n^{+m}$  be the same graph with  $m$  sinks iteratively added. The second Dagstuhl conjecture held that, just as the polymorphisms  $\text{Pol}(H)$  should be contained in  $\text{Pol}(K_n)$ , i.e. be only essentially unary, perhaps  $\text{Pol}(H^{+m})$  should be contained in  $\text{Pol}(K_n^{+m})$ , and that would be enough to prove Pspace-completeness for the corresponding QCSP. This conjecture turned out to be false, but some substitute digraphs for  $K_n$  in this position were found and so the complexity result follows nonetheless.

As previously stated, the bulk of our work is in proving all smooth semicomplete digraphs with multiple cycles have only essentially unary polymorphisms. It is easy to see this is not true of any of the other semicompletes, for each of which a simple ternary essential polymorphism (i.e. one that is not essentially unary) may be given. Thus, we in fact give another, algebraic, classification.

**Theorem 2.** *Let  $H$  be a semicomplete digraph. If  $H$  is smooth and not itself a cycle, then  $H$  admits only essentially unary polymorphisms; otherwise  $H$  has an essential polymorphism.*

This may be seen as the first part of a larger research program, beginning with semicomplete digraphs, which may continue eventually to larger classes. For example, it is known precisely which smooth digraphs have a weak near unanimity polymorphism [2] and which digraphs enjoy Mal'cev [8]

This paper is organised as follows. After the preliminaries we deal with upper bounds and essential polymorphisms in Section 3. We then deal with the central topic of those semicompletes which have only essentially unary polymorphisms in Section 4. Finally, we deal with the remaining cases of source-without-sink and sink-without-source in Section 5. For reasons of space most proofs are omitted.

## 2 Preliminaries

Let  $[n] := \{1, \dots, n\}$ . All graphs in what follows are directed, that is just a binary relation on a set. We denote *digraphs* by  $G, H$ , etc. and their vertex and edge sets by  $V(\cdot)$  and  $E(\cdot)$  (or  $\rightarrow, \Rightarrow$ ; where  $\leftrightarrow, \Leftrightarrow$  indicates double edge), respectively, where we might omit the  $(\cdot)$  if this is clear. We switch rather freely between postfix notations, such as  $xy \in E$ , and infix notations such as  $x \rightarrow y$ . If  $v \in H$ , then  $v^+ := \{x \in V(H) : vx \in E(H)\}$  and  $v^- := \{x \in V(H) : xv \in E(H)\}$ .

A digraph  $H$  is *semicomplete* if it is irreflexive (loopless) and for any two vertices  $i$  and  $j$ , at least one of  $ij$  and  $ji$  is an edge of  $H$ . If  $H$  never has both  $ij$  and  $ji$ , then it is furthermore a *tournament*. For technical reasons we deny the trivial tournament with a single vertex and no edges. The equivalence relation of strong connectedness is defined in the usual way and its equivalence classes will be called strong components. If the strong component has one element, it is trivial, otherwise nontrivial. We start by noting that, just like in the case of tournaments, in semicomplete graphs the strong components can be linearly ordered, so that there is an edge out of every vertex in a smaller strong component

into every vertex of a larger strong component (but never an edge going the other way, obviously).

The problems  $\text{CSP}(H)$  and  $\text{QCSP}(H)$  each take as input a sentence  $\Phi$ , and ask whether this sentence is true on  $H$ . For the former, the sentence involves the existential quantification of a conjunction of atoms – *primitive positive* (pp) logic. For the latter, the sentence involves the arbitrary quantification of a conjunction of atoms – *positive Horn* (pH) logic. It is well-known, for finite  $H$ , that  $\text{CSP}(H)$  and  $\text{QCSP}(H)$  are in NP and Pspace, respectively.

The *direct product*  $G \times H$  of two digraphs  $G$  and  $H$  has vertex set  $\{(x, y) : x \in V(G), y \in V(H)\}$  and edge set  $\{(x, u)(y, v) : x, y \in V(G), u, v \in V(H), xy \in E(G), uv \in E(H)\}$ . Direct products are (up to isomorphism) associative and commutative. The  $k$ th power  $G^k$  of a graph  $G$  is  $G \times \dots \times G$  ( $k$  times). A homomorphism from a graph  $G$  to a graph  $H$  is a function  $h : G \rightarrow H$  such that, if  $xy \in E(G)$ , then  $h(x)h(y) \in E(H)$ . A  $k$ -ary *polymorphism* of a graph  $H$  is a homomorphism from  $H^k$  to  $H$ . A polymorphism  $f$  is *idempotent* when, for all  $x$ ,  $f(x, \dots, x) = x$ . An operation  $f : H^k$  to  $H$  is termed *essentially unary* if there is a unary operation  $g$  and co-ordinate  $i$  so that  $f(x_1, \dots, x_k) = g(x_i)$ . If  $f$  is not essentially unary then we describe  $f$  as *essential*.

A digraph is a *core* if all of its endomorphisms are automorphisms. All finite semicomplete digraphs are cores, for which all polymorphisms are surjective. For cores it is well-known the constants are pp-definable up to automorphism. That is, if  $H^c$  is  $H$  with all constants named, and  $H$  is a core, then  $\text{CSP}(H)$  and  $\text{CSP}(H^c)$  are poly time equivalent; and the same applies to the QCSP. A similar argument may be given in the algebraic language and the implication is that we may as well assume all the polymorphisms of a semicomplete digraph  $H$  are idempotent (because this is true for  $H^c$  which is actually the structure we will be working on).

The now-celebrated *algebraic approach* to CSP rests on one half of a Galois correspondence, where it is observed that the relations that are invariant under (preserved by) the polymorphisms of  $H$  are precisely the relations that are pp-definable in  $H$ . For QCSP, we obtain a similar characterisation substituting surjective polymorphisms for polymorphisms and pH for pp. The consequence of this is that if the polymorphisms (resp., surjective polymorphisms) of  $H$  are contained as a subset of those of  $H'$ , then there is a poly time reduction from  $\text{CSP}(H')$  to  $\text{CSP}(H)$  (resp.,  $\text{QCSP}(H')$  to  $\text{QCSP}(H)$ ); that is, the polymorphisms control the complexity.

If  $\Phi$  is an input for  $\text{QCSP}(H)$  with quantifier-free part  $\varphi$ , then with this we associate the digraph  $D_\varphi$  whose vertices are variables of  $\varphi$  and edges are given by the atoms in  $\varphi$ . If  $\Phi$  is existential, i.e. also an input to  $\text{CSP}(H)$ , then the relationship between  $\Phi$  and  $D_\Phi$  is that of canonical query to canonical database [13].

In a digraph, a *source* (resp., *sink*) is a vertex with in-degree (resp. out-degree) 0. A digraph with no sources or sinks is called *smooth*. In a semicomplete graph, a source  $s$  (resp., sink  $t$ ) satisfies, for all  $x \neq s$  (resp.,  $x \neq t$ ),  $xs \notin E(H)$  and  $sx \in E(H)$  (resp.,  $tx \notin E(H)$  and  $xt \in E(H)$ ). A digraph may have multiple

sources or sinks, but a semicomplete may have at most one of each. If  $H$  is a digraph, then let  $H^{+j}$  be  $H$  with, iteratively,  $j$  sinks added (i.e. each time we add a sink we make it forward-adjacent to each existing vertex). Let us label these added sinks, in order,  $t_1, \dots, t_j$  (thus  $t_j$  is the unique sink of  $H^{+j}$ ). Similarly, let  $H^{-j}$  be  $H$  with  $j$  sources added. When the  $j$  is omitted it is presumed to be 1.

We mention some special semicomplete graphs that will appear in the paper.  $K_n$  is the irreflexive complete graph (clique) on vertex set  $[n]$ . For  $i \neq j \in [n]$ ,  $K_n$  has both edges  $ij$  and  $ji$ .  $DC_3$  is the directed 3-cycle. Let  $T_n$  be the transitive tournament on  $[n]$  with the natural order  $<$  corresponding to the edge relation (i.e.  $ij \in E(T_n)$  iff  $i < j$ ).

### 3 Complexity upper bounds and Essential polymorphisms

The main results of this section date back to the third author's Ph.D. [15] (available from his website) and are presented there combinatorially and in much fuller detail. The first is very straightforward.

**Proposition 1.** *Let  $H$  be a digraph with both a source  $s$  and a sink  $t$ , then  $QCSP(H)$  is in NP.*

*Proof.* Let  $\Phi$  be an input to  $QCSP(H)$  with quantifier-free part  $\varphi$ . Suppose  $\varphi$  has an atom  $v_i v_j$  so that  $\Phi$  quantifies  $v_i$  universally, then  $\Phi$  is a no-instance since  $\varphi$  will never be satisfied when  $v_i$  is evaluated as  $t$ . Dually, we may assume  $\varphi$  has no atom  $v_i v_j$  so that  $\Phi$  quantifies  $v_j$  universally; and we find that  $\Phi$  can not contain universally quantified variables involved in atoms of  $\varphi$ . Thus, we may ignore universally quantified variables and evaluate  $\Phi$  as an input to  $CSP(H)$  in NP.

We now turn our attention to the poly time cases.

**Proposition 2.** *For all  $n \geq 1$ ,  $QCSP(T_n)$  is in P.*

*Proof.* The ternary median function  $f(x, y, z) = \text{med}(x, y, z)$  is a polymorphism of  $T_n$  which is a majority operation. The tractability of  $QCSP(T_n)$  follows from [5].

It is well-known that  $QCSP(K_2)$  and  $QCSP(DC_3)$  admit a majority polymorphism and are therefore in P (see [5]). We are now interested in the semicomplete graphs  $K_2^{+j}$ ,  $K_2^{-j}$ ,  $DC_3^{+j}$  and  $DC_3^{-j}$  (for  $j > 0$ ). Proof of the following appears in the appendix.

**Proposition 3.** *For  $j \geq 0$ , each of  $QCSP(K_2^{+j})$ ,  $QCSP(K_2^{-j})$ ,  $QCSP(DC_3^{+j})$  and  $QCSP(DC_3^{-j})$  are in P.*

We now deal with the semicompletes that admit essential polymorphisms.

**Proposition 4.** *If  $H$  is a semicomplete digraph with at most one cycle or a source or a sink, then  $H$  admits an essential polymorphism.*

*Proof.* It was noted in the proof of Proposition 2 that the transitive tournaments admit a median polymorphism. Afterwards it was noted further that  $K_2$  and  $DC_3$  admit majority polymorphisms (and indeed the median may be used here).

Let  $H$  be a semicomplete digraph and recall  $H^+$  to be the same digraph with a sink  $t$  added, to which all other vertices have a forward edge. Then  $H$  has the polymorphism  $f(x, y, z) = x$ , unless  $(y = t \text{ or } z = t)$  in which case  $f(x, y, z) = t$ . It follows that semicompletes with sink admit an essential polymorphism. The result for semicompletes with a source is symmetric and the result follows.

## 4 Semicompletes with essentially unary polymorphisms

**Theorem 3.** *Let  $H$  be a smooth semicomplete digraph with precisely two strong components. Then all idempotent polymorphisms of  $H$  are projections.*

**Theorem 4.** *Let  $H$  be a smooth semicomplete digraph with two non-trivial strong components. Then all idempotent polymorphisms of  $H$  are projections.*

**Theorem 5.** *Let  $H$  be a smooth semicomplete digraph with more than two strong components. Then all idempotent polymorphisms of  $H$  are projections.*

We sum these up in the following corollary.

**Corollary 1.** *Let  $H$  be a smooth semicomplete digraph that is not strongly connected. Then all idempotent polymorphisms of  $H$  are projections.*

### 4.1 The strongly connected case

**Definition 1.** *A subset  $L \subset V$  is nice if the induced subgraph on  $L$  is strongly connected and all idempotent polymorphisms of  $G$  restrict to  $L$  as projections.*

**Lemma 1.** *Let  $L$  be a nice subset of  $V$  and let  $v$  be a vertex such that  $v^+ \cap L \neq \emptyset \neq v^- \cap L$ . Then  $L \cup \{v\}$  is nice.*

**Lemma 2.** *Let  $L = \{a, b\}$  be compatible with (i. e. closed under) the idempotent polymorphisms of  $G$  and let  $a \rightarrow b \rightarrow a$ . If  $v \in V \setminus L$  is such that  $v^+ \cap L \neq \emptyset \neq v^- \cap L$  and  $f$  is an  $n$ -ary idempotent polymorphism of  $G$ , then there exists  $i$ ,  $1 \leq i \leq n$ , such that on the subset  $\{a, b, v\}$  the restriction of  $f$  is equal to the  $i$ th projection.*

A congruence of a tournament  $(V, \rightarrow)$  is an equivalence relation  $\rho$  on  $V$  such that for all  $(x_1, x_2), (y_1, y_2) \in \rho$  such that  $(x_1, y_1) \notin \rho$ ,  $x_1 \rightarrow y_1$  iff  $x_2 \rightarrow y_2$ . If  $\rho$  is a congruence of the tournament  $T = (V, \rightarrow)$ , then the factor tournament  $T/\rho$  is the tournament  $(V/\rho, \Rightarrow)$ , where  $a/\rho \Rightarrow b/\rho$  iff  $a/\rho \neq b/\rho$  and  $a \rightarrow b$ .

We also introduce the interval notation for a digraph  $G = (\{a_1, a_2, \dots, a_n\}, \rightarrow)$  with the fixed Hamiltonian cycle  $a_1 \rightarrow a_2 \rightarrow \dots \rightarrow a_n \rightarrow a_1$ :  $[a_i, a_j]$  is the set of all vertices that are traversed by shortest path starting at  $a_i$ , ending at  $a_j$  and which uses only the directed edges of the Hamiltonian cycle. For instance,  $[a_2, a_1] = \{a_1, a_2, \dots, a_n\}$ , while  $[a_1, a_2] = \{a_1, a_2\}$ . We also define  $[a_i, a_j] := [a_i, a_j] \setminus \{a_j\}$ ,  $(a_i, a_j] := [a_i, a_j] \setminus \{a_i\}$  and  $(a_i, a_j) := [a_i, a_j] \setminus \{a_i, a_j\}$ .

**Definition 2.** Let  $T = (\{a_1, \dots, a_n\}, \rightarrow)$  be a strongly connected tournament with the fixed Hamiltonian cycle  $C = a_1 \rightarrow a_2 \rightarrow \dots \rightarrow a_n \rightarrow a_1$ , where  $n \geq 3$ .  $T$  is locally transitive with respect to the cycle  $C$  iff there exists a function  $\varphi_T : \{1, \dots, n\} \rightarrow \{1, \dots, n\}$  such that:

1.  $\varphi_T(i) \notin \{i-1, i\}$  and  $\varphi_T(1) \notin \{1, n\}$ ,
2.  $a_i^+ = (a_i, a_{\varphi_T(i)})$  and
3.  $a_{\varphi_T(i+1)} \in [a_{\varphi_T(i)}, a_i)$  and  $a_{\varphi_T(1)} \in [a_{\varphi_T(n)}, a_n)$ .

In particular, since the locally transitive tournament  $T$  is semicomplete, we get that  $a_{\varphi_T(i)+1} \rightarrow a_i$  and from the definition above follows that

$$(4) \quad a_i \rightarrow a_{i+1}, \quad (a_{i+1} \rightarrow a_{\varphi_T(i)} \text{ or } a_{i+1} = a_{\varphi_T(i)}) \quad \text{and} \quad a_i^+ \setminus \{a_{i+1}\} \subseteq a_{i+1}^+$$

(where the addition here is modulo  $n$ , so  $n+1=1$ ). Note also that local transitivity depends on the fixed Hamiltonian cycle  $C$ . It is easy to construct five-element Hamiltonian tournament which is locally transitive with respect to one of its Hamiltonian cycles, but not with respect to another.

We will use the easier notation for a locally transitive tournament  $T$  when the vertex set is  $\{1, 2, \dots, n\}$ , where we will understand, unless otherwise stated, that the fixed Hamiltonian cycle is  $1 \rightarrow 2 \rightarrow \dots \rightarrow n \rightarrow 1$ , and  $a_i = i$ , so we will have  $(\varphi_T(i) + 1) \rightarrow i$  instead of  $a_{\varphi_T(i)+1} \rightarrow a_i$  et cetera.

**Definition 3.** A locally transitive tournament  $T = (\{1, \dots, n\}, \rightarrow)$  is regular iff  $n = 2k + 1$  for some positive integer  $k$  and for all  $1 \leq i < j \leq 2k + 1$ ,  $i \rightarrow j$  iff  $j - i \leq k + 1$  (otherwise  $j \rightarrow i$ ). In other words, in the unique (up to isomorphism) regular locally transitive tournament with  $2k+1$  vertices,  $\varphi_T(i) = i+k$  if  $i \leq k+1$ , and  $\varphi_T(i) = i - k - 1$  if  $i > k + 1$ .

**Lemma 3.** Let  $T = (\{1, \dots, n\}, \rightarrow)$  be a locally transitive tournament such that  $\varphi_T$  is a permutation of  $\{1, \dots, n\}$ . Then  $T$  is regular.

**Definition 4.** The semicomplete graph  $G_T = (V, E)$  will be called a  $P$ -graph parametrized by the locally transitive tournament  $T = (\{1, \dots, n\}, \rightarrow)$  if there exists a partition  $\rho$  of the vertex set  $V$  into nonempty subsets  $A_1, \dots, A_n$  such that for all  $i \neq j$  and all  $a \in A_i$  and  $b \in A_j$ ,  $ab \in E$  iff  $i \rightarrow j$  in  $T$ .

**Theorem 6.** Every idempotent polymorphism  $f$  of a  $P$ -graph  $G_T$  parametrized by the locally transitive tournament  $T$  is a projection, except when  $G_T$  is the 3-cycle.

**Lemma 4.** Let  $G = (V, \rightarrow)$  be a strongly connected semicomplete graph which contains at least one 2-cycle. Then for each 2-cycle  $a \leftrightarrow b$  in  $G$ , the set  $\{a, b\}$  is closed with respect to all idempotent polymorphisms of  $G$  and each binary idempotent polymorphism of  $G$  restricted to  $\{a, b\}$  is a projection.

**Definition 5.** Let  $G = (V, \rightarrow)$  be a strongly connected semicomplete graph. We say that  $L$  splits  $G$  if  $\emptyset \neq L \subsetneq V$  is a subset with the following properties:

1.  $\{L, L^+, L^-\}$  is a partition of  $V$  and
2. for any 2-cycle  $a \leftrightarrow b$  in  $G$ ,  $\{a, b\}$  is contained in one of  $L, L^-$  or  $L^+$ .

**Lemma 5.** *Let  $G = (V, \rightarrow)$  be a strongly connected semicomplete graph which is not a cycle. Let  $L_0$  be either a 2-cycle or a nice subset of  $V$ . Then either all idempotent polymorphisms of  $G$  are projections, or there exists a subset  $L \subset V$  such that  $L$  splits  $G$ ,  $L_0 \subset L$  and either the induced subgraph on  $L$  is a 2-cycle, or  $L$  is nice.*

**Lemma 6.** *Let  $G = (V, \rightarrow)$  be a strongly connected semicomplete graph which is not a P-graph and let  $L$  split  $G$ . Then there exist vertices  $a_0, a_1, b_0 \in V$  such that  $a_1 \leftarrow a_0 \rightarrow b_0 \rightarrow a_1$  and that either*

1.  $b_0 \in L^-$  and  $a_0, a_1$  are in the same strong component, or two consecutive strong components, of the induced subgraph on  $L^+$ , or
2.  $b_0 \in L^+$  and  $a_0, a_1$  are in the same strong component, or two consecutive strong components, of the induced subgraph on  $L^-$ .

**Lemma 7.** *If a strongly connected tournament  $G = (V, \rightarrow)$  is not a P-graph and for all  $v \in V$ , all strong components of the induced subgraphs on  $v^+$  and on  $v^-$  are of sizes 1 or 3, then there is a 3-cycle  $a \rightarrow b \rightarrow c \rightarrow a$  in  $G$  such that all idempotent polymorphisms of  $G$  restrict to  $\{a, b, c\}$  as projections.*

**Theorem 7.** *A strongly connected semicomplete digraph which is not a cycle has all its idempotent polymorphisms being projections.*

*Proof.* We prove it by an induction on  $|V| = n$ . By Theorem 6, if  $G$  is a P-graph, we are done, so we assume that  $G$  is not a P-graph. For  $n = 2$  the only semicomplete digraph must be a cycle. If  $n = 3$  and  $G$  is not a cycle, then there is a 2-cycle  $a \leftrightarrow b$  in  $G$ , and the third vertex  $c$  must satisfy either  $a \rightarrow c \rightarrow b$  or  $b \rightarrow c \rightarrow a$  (possibly even both!), so by Lemma 4 and Lemma 2 all idempotent polymorphisms are projections. Also, if  $n = 4$ , then  $G$  is a P-graph parametrized by the 3-cycle if  $G$  is the only 4-element strongly connected tournament or in the case when  $V = \{a, b, c, d\}$  has exactly one 2-cycle  $a \leftrightarrow b$ ,  $c \in \{a, b\}^+$  and  $d \in \{a, b\}^-$ . Otherwise, from Lemmas 4, 2 and 1 follows that all idempotent polymorphisms of  $G$  are projections.

Now assume that  $n > 4$  and that the Theorem holds in all strongly connected semicomplete graphs with fewer than  $n$  vertices. If there exists a 2-cycle  $a \leftrightarrow b$ , then we set  $L_0 = \{a, b\}$ . Otherwise,  $G$  is a tournament, and if there exists any vertex  $v \in V$  and a strong component  $L_0$  of the induced subgraph on  $v^-$  or on  $v^+$  such that  $|L_0| > 3$ , then  $L_0$  is clearly pp-definable with constants in  $G$ , so  $L_0$  must be nice by the inductive assumption. Finally, if  $G$  is a tournament and for all  $v \in V$  all strong components of the induced subgraphs on  $v^-$  and on  $v^+$  have at most three elements, then by Lemma 7 follows that there is a three element subset  $L_0$  which is nice.

Let  $L$  be a maximal nice subset of  $V$  such that  $L_0 \subset L$ . If  $L \neq V$ , then by Lemma 5,  $L$  splits  $G$ . Now from Lemma 6 follows that either a strong component  $L'$  of the induced subgraph on  $a_0^+$  contains  $L \cup \{a_1, b_0\}$  (if (1) of Lemma 6 holds),



or that a strong component  $L'$  of the induced subgraph on  $a_1^-$  contains  $L \cup \{a_0, b_0\}$  (if (2) of Lemma 6 holds). Either way,  $L'$  is pp-definable with constants in  $G$ ,  $L \subsetneq L' \subsetneq V$  and the induced subgraph on  $L'$  is strongly connected, so by the inductive assumption  $L'$  is nice. This contradicts the assumed maximality of  $L$ . So, the only alternative is  $L = V$ , but then the Theorem holds by niceness of  $L$ .

Our main complexity result now follows from [5].

**Corollary 2.** *If  $H$  is a smooth semicomplete digraph with more than one cycle, then  $QCSP(H)$  is Pspace-complete.*

## 5 Remaining semicomplete digraphs

Recall  $\mathcal{T}_n$  to be the transitive tournament on  $[n]$  with the natural order  $<$  corresponding to the edge relation. Let  $\overline{\mathcal{T}}_n$  be  $\mathcal{T}_n$  with the extant edge  $E(1, n)$  augmented by  $E(n, 1)$ , i.e. this becomes a double-edge. Let  $\mathcal{K}_{2 \rightarrow 2}$  be the semicomplete graph built from disjoint copies  $\mathcal{H}_1$  and  $\mathcal{H}_2$  of  $\mathcal{K}_2$  with all edges added from  $\mathcal{H}_1$  to  $\mathcal{H}_2$ . More generally, let  $\mathcal{K}_{2 \rightarrow 1^k \rightarrow 2}$  be the semicomplete graph built from disjoint copies  $\mathcal{H}_1$  and  $\mathcal{H}_2$  of  $\mathcal{K}_2$  with a transitive tournament  $T_k$  inbetween.

### 5.1 Some Pspace-hardness results

**Proposition 5.** *For each  $k > 0$ ,  $QCSP(K_{2 \rightarrow 2})$  and  $QCSP(K_{2 \rightarrow 2}^+)$  are Pspace-complete.*

**Corollary 3.** *Let  $G = (V, \rightarrow)$  be a finite digraph without loops. Let  $G$  contain either*

1. *a copy of  $K_{2 \rightarrow 2}$  such that  $a \leftrightarrow b \rightarrow c \leftrightarrow d$  such that any automorphism of this copy extends by the identity map to an automorphism of  $G$  and moreover,  $a^+ \cup b^+ = V$ , or*
2. *a copy of  $K_3$ ,  $a \leftrightarrow b \leftrightarrow c \leftrightarrow a$  such that any permutation of  $\{a, b, c\}$  extends by the identity map to an automorphism of  $G$  and moreover  $a^+ \cup b^+ = a^+ \cup c^+ = b^+ \cup c^+ = V$ ,*

*then  $QCSP(G)$  is Pspace-complete.*

**Proposition 6.** *For  $n \geq 3$ , both  $QCSP(\overline{\mathcal{T}}_n)$  and  $QCSP(\overline{\mathcal{T}}_n^+)$  are Pspace-complete.*

**Proposition 7.** *For any digraph  $H$ ,  $QCSP(H^+)$  and  $QCSP_{[\exists/H]}(H^+)$  are equivalent.*

For  $H$  a subset of the domain of the structure  $H'$ , let  $QCSP_{[\exists/H]}(H')$  be the variant of  $QCSP(H')$  in which the existential variables are restricted to being chosen from  $H$ .

**Proposition 8.** *Let  $H$  be a digraph. For each  $j > 1$  there exists a polytime reduction from  $QCSP_{[\exists/H]}(H^+)$  to  $QCSP(H^{+j})$ .*

**Corollary 4.** *For any digraph  $H$  and each  $j > 1$ ,  $QCSP(H^+)$  reduces to  $QCSP(H^{+j})$ .*

**Corollary 5.** *For each  $j > 0$ ,  $QCSP(\overline{\mathcal{T}}_n^{+j})$  and  $QCSP(K_{2 \rightarrow 2}^{+j})$  are both Pspace-complete.*

## 5.2 The algebraic part

**Definition 6.** Let  $G = (V, \rightarrow)$  be a directed graph. We define the relation  $\preceq_G$  on  $V$  by  $x \preceq_G y$  iff  $x^- \subseteq y^-$ .

**Proposition 9.** Assume that  $G$  is semicomplete. Then  $\preceq_G$  is a partial order,  $\preceq_G$  has the largest element  $t$  iff  $t$  is a sink, and dually for least elements and sources.

**Lemma 8.** Let  $G = (V, \rightarrow)$  be a semicomplete graph without sources, but with the sink  $t$ . Let  $f : V^m \rightarrow V$  be any idempotent mapping such that its restriction to  $V \setminus \{t\}$  is the first projection.  $f$  is a polymorphism of  $G$  iff for all  $b_1, b_2, \dots, b_m \in V$ ,  $b_1 \preceq_G f(b_1, b_2, \dots, b_m)$ .

**Definition 7.** Let  $G = (V, E)$  be a digraph. We define the partition of the vertex set  $V$  into  $V_{min}^G$ ,  $V_{max}^G$ ,  $V_{both}^G$  and  $V_{none}^G$  so that all vertices in  $V_{max}^G$  are minimal, but not maximal, in the order  $\preceq_G$ , all vertices in  $V_{min}^G$  are maximal, but not minimal, in the order  $\preceq_G$ , all vertices in  $V_{both}^G$  are both minimal and maximal in the order  $\preceq_G$ , while vertices in  $V_{none}^G$  are neither minimal nor maximal in the order  $\preceq_G$ . When the digraph  $G$  is understood, we will omit the superscript  $G$ .

**Definition 8.** Let  $G = (V, E)$  be a digraph. We define the digraph  $S(G) = (V, \rightarrow)$  by:

1. For all  $x, y \in V_{max} \cup V_{both}$ ,  $x \leftrightarrow y$ ,
2. For all  $x, y \in V_{min}$ ,  $x \leftrightarrow y$ ,
3. For all  $x, y \in V_{none}$ ,  $x \rightarrow y$  iff  $E(x, y)$ .
4. For all  $x \in V_{min}$  and  $y \in V_{none} \cup V_{max}$ ,  $x \rightarrow y$ , but  $\neg y \rightarrow x$ ,
5. For all  $x \in V_{none}$  and  $y \in V_{max}$ ,  $x \rightarrow y$ , but  $\neg y \rightarrow x$ ,
6. For all  $x \in V_{both}$  and  $y \in V_{none} \cup V_{min}$ ,  $x \rightarrow y$ , but  $\neg y \rightarrow x$ .

**Proposition 10.**  $V_{min}^{S(G)} = V_{min}^G$ ,  $V_{max}^{S(G)} = V_{max}^G$ ,  $V_{both}^{S(G)} = V_{both}^G$  and  $V_{none}^{S(G)} = V_{none}^G$ . Consequently,  $S(S(G)) = S(G)$ .

**Proposition 11.** A permutation  $\alpha$  of the vertex set  $V$  of the digraph  $G = (V, \rightarrow)$  (more generally, universe  $A$  of a finite relational structure) is an automorphism iff it is structure-preserving.

**Lemma 9.** The following statements hold for any digraph  $G$ :

1.  $Aut(G) \subseteq Aut(V, \preceq_G)$ ,
2.  $Aut(G) \subseteq Aut(S(G))$ ,
3.  $\preceq_G \subseteq \preceq_{S(G)}$  and
4. If  $G$  is smooth and semicomplete, then so is  $S(G)$ .
5. If  $G$  is not a cycle and semicomplete, then neither is  $S(G)$ .

**Corollary 6.** Let  $G = (V, E)$  be a smooth semicomplete digraph which is not a cycle. Then  $Pol(G^+) \subseteq Pol(S(G)^+)$ .

**Definition 9.** Let  $G = (V, E)$  be a digraph. We define the digraph  $L(G)$  on the set  $V$  in the following way:

1. For all  $x \in V_{\text{both}} \cup V_{\text{min}}$  and  $y \in V_{\text{none}} \cup V_{\text{max}}$ ,  $x \rightarrow y$ , but  $\neg y \rightarrow x$ ,
2. For all  $x \in V_{\text{none}}$  and  $y \in V_{\text{max}}$ ,  $x \rightarrow y$ , but  $\neg y \rightarrow x$ ,
3. For all  $x, y \in V_{\text{min}} \cup V_{\text{both}}$ ,  $x \leftrightarrow y$ ,
4. For all  $x, y \in V_{\text{none}}$ ,  $x \rightarrow y$  iff  $E(x, y)$ ,
5. For all  $x, y \in V_{\text{max}}$ ,  $x \leftrightarrow y$ .

The next Lemma follows directly from Definition 9.

**Lemma 10.** Let  $G$  be a digraph. Either  $V = V_{\text{both}}^G = V_{\text{both}}^{L(G)}$ , or  $V_{\text{min}}^{L(G)} = V_{\text{both}}^G \cup V_{\text{min}}^G$ ,  $V_{\text{none}}^{L(G)} = V_{\text{none}}^G$ ,  $V_{\text{max}}^{L(G)} = V_{\text{max}}^G$  and  $V_{\text{both}}^{L(G)} = \emptyset$ .

**Corollary 7.** Let  $G = (V, E)$  be a smooth semicomplete digraph which is not a cycle. Then  $\text{Pol}(S(G)^+) \subseteq \text{Pol}(L(G)^+)$ .

**Theorem 8.** Let  $G = (V, E)$  be a smooth semicomplete digraph which is not a cycle. Then  $\text{QCSP}(G^{+j})$  is Pspace complete for all  $j > 0$ .

**Corollary 8.** If  $H$  is semicomplete with more than one cycle and either: 1.) a sink but no source, or 2.) a source but no sink, then  $\text{QCSP}(H)$  is Pspace-complete.

*Proof.* Case 1 is taken care of by Theorem 8 and Case 2 is symmetric.

## 6 Conclusion

We can now piece together proofs of our central theorems.

*Proof (of Theorem 1).* The cases in P follow from Propositions 2 and 3. The NP upper bound follows from Proposition 1 and the NP lower bound follows from [1]. All (finite-domain) QCSPs are in Pspace so, finally, the Pspace-hard cases follow from Corollaries 2 and 8.

*Proof (of Theorem 2).* From Proposition 4 and Theorem 7.

## References

1. BANG-JENSEN, J., HELL, P., AND MACGILLIVRAY, G. The complexity of colouring by semicomplete digraphs. *SIAM J. Discrete Math.* 1, 3 (1988), 281–298.
2. BARTO, L., KOZIK, M., AND NIVEN, T. The CSP dichotomy holds for digraphs with no sources and no sinks (a positive answer to a conjecture of Bang-Jensen and Hell). *SIAM Journal on Computing* 38, 5 (2009), 1782–1802.
3. BODIRSKY, M., AND CHEN, H. Relatively quantified constraint satisfaction. *Constraints* 14, 1 (2009), 3–15.
4. BODIRSKY, M., AND CHEN, H. Quantified equality constraints. *SIAM J. Comput.* 39, 8 (2010), 3682–3699.

5. BÖRNER, F., BULATOV, A. A., CHEN, H., JEAUVONS, P., AND KROKHIN, A. A. The complexity of constraint satisfaction games and qcsp. *Inf. Comput.* 207, 9 (2009), 923–944. Technical report "Quantified Constraints and Surjective Polymorphisms" was 2002 and conference version "Quantified Constraints: Algorithms and Complexity" was CSL 2003.
6. BULATOV, A. A dichotomy theorem for constraint satisfaction problems on a 3-element set. *J. ACM* 53, 1 (2006), 66–120.
7. BULATOV, A., KROKHIN, A., AND JEAUVONS, P. G. Classifying the complexity of constraints using finite algebras. *SIAM Journal on Computing* 34 (2005), 720–742.
8. CARVALHO, C., EGRI, L., JACKSON, M., AND NIVEN, T. On maltsev digraphs. In *Computer Science - Theory and Applications - 6th International Computer Science Symposium in Russia, CSR 2011* (2011), pp. 181–194.
9. CHEN, H. Meditations on quantified constraint satisfaction. *CoRR abs/1201.6306* (2012). Appeared in Festschrift for Dexter Kozen 60th.
10. FEDER, T., HELL, P., JONSSON, P., KROKHIN, A. A., AND NORDH, G. Retractions to pseudoforests. *SIAM J. Discrete Math.* 24, 1 (2010), 101–112.
11. FEDER, T., AND VARDI, M. The computational structure of monotone monadic SNP and constraint satisfaction: A study through Datalog and group theory. *SIAM Journal on Computing* 28 (1999), 57–104.
12. HELL, P., AND NEŠETŘIL, J. On the complexity of H-coloring. *Journal of Combinatorial Theory, Series B* 48 (1990), 92–110.
13. KOLAITIS, P. G., AND VARDI, M. Y. *Finite Model Theory and Its Applications (Texts in Theoretical Computer Science. An EATCS Series)*. Springer-Verlag New York, Inc., 2005, ch. A logical Approach to Constraint Satisfaction.
14. MADELAINE, F. R., AND MARTIN, B. Qcsp on partially reflexive cycles - the wavy line of tractability. In *Computer Science - Theory and Applications - 8th International Computer Science Symposium in Russia, CSR 2013* (2013), pp. 322–333.
15. MARTIN, B. *Logic, Computation and Constraint Satisfaction*. PhD thesis, University of Leicester, 2006.
16. MARTIN, B. QCSP on partially reflexive forests. *CoRR abs/cs/1103.6212* (2011). Abridged conference version appeared in CP 2011.
17. MARTIN, B., AND MADELAINE, F. Towards a trichotomy for quantified H-coloring. In *2nd Conf. on Computability in Europe, LNCS 3988* (2006), pp. 342–352.
18. PAPADIMITRIOU, C. H. *Computational Complexity*. Addison-Wesley, 1994.
19. SCHAEFER, T. J. The complexity of satisfiability problems. In *Proceedings of STOC'78* (1978), pp. 216–226.