# Investigation of solar assisted air source heat pump heating system integrating compound parabolic concentrator-capillary tube solar collectors

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## 12 Abstract

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Solar assisted air source heat pump heating systems are capable of achieving green heating 14 where solar availability essentially affects its operation performance and application potentials, 15 especially in higher-latitude regions, such as UK. Compound parabolic concentrator solar 16 collectors can achieve high collector efficiency at high hot water temperature, benefitting to 17 improve solar collection and corresponding thermal energy storage capacities. Though 18 compound parabolic concentrator solar collectors have been widely investigated, application 19 of such collector for solar assisted air source heat pump system is not studied yet. The paper 20 reports numerical simulations of solar assisted air source heat pump heating systems that 21 integrate compound parabolic concentrator-capillary tube solar collectors for domestic heating 22 in the UK. The results show that, for the same seasonal performance factor, the size of the 23 concentrated solar collector required is 12 m<sup>2</sup> whereas the size of the flat plate solar collector 24 required is 18 m<sup>2</sup>. This suggests one third reduction in the size of solar collector, significant 25 reduction in cost and convenience for installation. The results also show the potential to further 26 reduce the size of the concentrated solar collector to 9 m<sup>2</sup> or less. The high collector efficiency 27 of the compound parabolic concentrator-capillary tube solar collector enables much small size 28 of solar collector, significantly lower cost and convenient for installation and wide rollout of 29 30 solar assisted air source heat pump heating system to locations where solar irradiance is 31 relatively lower.

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## 33 Highlights

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- Integration of concentrated solar collectors with heat pump heating system has been studied.
- Concentrator solar collector leads to potentially 50% size reduction.
- Using concentrated solar collector obtains yearly seasonal performance factor of 4.7.
- Concentrated solar collector enables application of the system to low solar irradiance.
- 5

Keywords: Compound parabolic concentrator-capillary tube solar collector, Solar assisted air
source heat pump, Domestic heating, Numerical simulation

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#### 9 1. Introduction

10 Solar assisted air source heat pump (SAASHP) heating systems are a promising technology to achieve a clean future. Solar availability plays an important role on its operation 11 12 performance and application potentials, especially in higher-latitude regions, such as UK. To improve system performance, improvements on solar collector specifically for SAASHP is an 13 14 important approach [1]. Currently, flat plate collector (FPC) is commonly used for SAASHP [2]. Some studies attempted to use evacuated tube collector (ETC) as an alternative. Liang et 15 16 al. [3] established a model for SAASHP using ETC and verified by experiments. Simulation results suggests that, as collector area increases from 10 to 30 m<sup>2</sup>, the maximum coefficient of 17 18 performance (COP) increases from 4.3 to 5.0. Huan et al. [4] simulated two types of SAASHPs 19 for university bathroom with an ETC of 860 m<sup>2</sup>. The serial heating system achieved a *COP* of 4.87 and the parallel heating system even obtained a COP of 10-20 under the weather 20 conditions in Xi'an, China. Vega and Cuevas [5] compared the performances of SAASHP 21 using ETC and uncovered FPC. For space heating (SH), the system using ETC can achieve 22 seasonal performance factor (SPF) of 3.8-4.7 while the system using FPC obtained an SPF of 23 3.7-3.8; for hot water (HW), the system using ETC had an SPF of 3.3-4 while the system using 24 FPC had an SPF of 2.8-2.9. Liu et al. [6] simulated a SAASHP heating system using ETC in 25 alpine regions, which achieved a COP of 2.3-4.2 for a collector area of 10 m<sup>2</sup>. Caglar and 26 Yamali [7] designed a SAASHP using ETC that obtained a COP of 5.56. Wang et al. [8] 27 established a SAASHP for SH, HW and space cooling (SC) using ETC. In SH mode, the COP 28 29 can be 3.75-4.72. Shan et al. [9] adopted ETC in an SAASHP system which achieved COP from 2.5 to 3.0. 30

Some researchers designed solar collectors to match the requirements for SAASHP. Kuang et al. [10] modified a steel radiator with a structure of dimpled and spot-welded plates as collector. This system realised a *COP* of 2.19. He et al. [11] experimentally studied a

SAASHP heating system using heat pipe as the solar collector and reached a COP of 4.93 for 1 2 a collector area of 2.4 m<sup>2</sup>. Buker and Riffat [12] designed a solar roof that integrated the solar collector with the building structure. With a solar roof area of  $1.92 \text{ m}^2$ , the *COP* of the system 3 4 was 2.29. Lee et al. [13] designed an air-based flexible solar collector. It has reflective film to 5 reflect solar irradiance with low incident angle to the absorbing tube. Using this collector, the 6 SAASHP achieved a COP of 1.12-3.99. Kim et al. [14] adopted collector/evaporator in SAASHP and achieved a COP of 3.4. Treichel and Cruickshank [15] designed an air-type solar 7 8 collector where air was used as the working fluid and also as the thermal energy storage (TES) medium. Their experimental results showed a COP of 1.9-2.4 and simulation results showed a 9 reduction in greenhouse gas by even 37.5 tonnes CO<sub>2</sub> equivalent [16]. 10

Although different designs of solar collectors have been developed to enhance the 11 performance of SAASHP as summarised in table 1, the operation of SAASHP is still strongly 12 limited by solar availability. Further studies are needed to promote the utilisation of SAASHP 13 14 in wide regions. The compound parabolic concentrator (CPC) concentrates the incidence of solar irradiance onto the designed surface areas and has been used to increase the efficiency 15 and outlet water temperature of solar collectors, benefitting to improve solar collection and 16 corresponding thermal energy storage capacities. Different types of CPC solar collectors have 17 been proposed. Gao and Chen [17] proposed a multi-sectional CPC with an average optical 18 19 efficiency of 96.7%. Chen and Yang [18] investigated an asymmetric CPC-ETC to harvest energy in winter (with an optical efficiency of 0.7) and avoid overheating in summer (with an 20 optical efficiency of 0.39). Zheng et al. [19] designed a CPC-copper tube solar collector which 21 achieved a collector efficiency of 60.5%. Chamasa-Ard et al. [20] designed a CPC-ETC which 22 achieved a collector efficiency of 78.0%. Wang et al. [21] developed a CPC-heat pipe ETC 23 which achieved a collector efficiency of 60.0%. Xu et al. [22] developed a CPC-capillary tube 24 solar collector (CSC) which achieved collector efficiency of 75%. Compared with other CPC 25 solar collectors, the CPC-CSCs can achieve a higher collector efficiency at higher collecting 26 temperatures. Indira et al. [23] designed a dual-concentration collector using CPC, parabolic 27 trough concentrator and dual-axis tracking. With the assistance of CPC, the maximum optical 28 efficiency of this collector is around 6.35% higher than solely using parabolic trough 29 concentrator. However, current studies on the utilisation of CPC collectors in SAASHP for 30 higher latitude regions is rare. Wei et al. [24] economically investigated a SAASHP for space 31 heating of a rural building using heat pipe, FPC, ETC and CPC and recommended heat pipe 32 collectors for utilisation. Chen et al. [25] economically investigated CPC for an absorption heat 33 pump and obtained a COP of only 1.04 for heating. The technical details for the operation 34

performance of a SAASHP system using CPC collectors are needed to reveal the effect of CPC
 collectors on the promotion of SAASHP in regions with lower solar availability.

The present work incorporates the CPC-CSC with the SAASHP heating system and 3 studies the system performance in London (51.5 °N, UK) over a typical metrological year. A 4 module of CPC-CSC is developed and self-coded in TRNSYS 17 based on data from the 5 numerical model developed and verified in [22]. Thus, the numerical model for the SAASHP 6 heating system using CPC-CSC is established. The heating system operates to provide space 7 heating (SH) and hot water for a single-family house (SFH) 45 building [26]. The operation 8 performance of the system is then compared with the system using FPC in [27]. The effects of 9 collector area on the system performance (such as electricity consumption, COP and SPF) are 10 analysed for collector areas of 6 m<sup>2</sup>, 9 m<sup>2</sup>, 12 m<sup>2</sup>, 15 m<sup>2</sup> and 18 m<sup>2</sup>, respectively. Furthermore, 11 economic analysis is conducted for different collector areas considering the current electricity 12 price. 13

Table 1: SAASHP heating systems integrating different solar collectors

Authors	Location	Function	Refrigerant	Solar collector		TES	T <sub>a</sub> (°C)	НС	СОР	SPF
		of HP		type	area (m <sup>2</sup> )	(m <sup>3</sup> )		(kW)		
Liang et al., 2011 [3]	-	SH	R22	evacuated tube	10	-	-1.2-9.5	10	3.3-4.3	-
					20				3.3-4.6	-
					30				3.3-5	-
Huan et al., 2019 [4]	Xi'an, China 34 °N	HW	-	evacuated tube	860	55	24-37	2.8x10 <sup>6</sup> - 3.2x10 <sup>6</sup>	4.87	-
					860			$2.7 \times 10^{6}$	10-20	-
Vega and Cuevas, 2020 [5]	-	SH	-	evacuated tube	22.5	0.3	10.2	-	-	3.8-4.7
				uncovered	22.5				-	3.7-3.8
		HW	-	evacuated tube	225	22.7	10.2	-	-	3 3-4
				uncovered	225		10.2		_	28-29
Liu et al 2020 [6]	Xining China	SH	-	evacuated tube	10	0.8	-18 2-	_	2 3-4 2	-
End of di., 2020 [0]	36.6 °N	511		evacuated tase	10	0.0	29.88	-	2.3-4.2	-
Caglar and Yamali, 2012 [7]	-	SH	R407C	evacuated tube	-	0.12	-	5.87	5.56	-
Wang et al., 2015 [8]	-	SC, SH, HW	R407C	evacuated tube	-	0.15	7, 12, 20	2.56-4.24 (SH)	3.75-4.72 (SH)	-
Shan et al., 2016 [9]	Beijing, China 40°N	SH	-	evacuated tube	-	0.72, 0.8	-13.3-4.5	3.9	2.5-3.0	-
Kuang et al., 2003 [10]	Qingdao, China 36°N	SH, HW	-	coated, covered	11	2.1	-10-4	4.99	2.19	-
He et al., 2015 [11]	London, UK 51°N	HW	R134a	covered, heat pipe	2.4	0.03, 0.2	25	2.253	4.93	-
Buker and Riffat, 2017	-	SH, HW	R134a	solar thermal roof	1.92	0.055	27	-	2.29	-
Lee et al., 2018 [13]	Seoul Korea, 37 °N	HW	R1233zd(E), R134a	air-based flexible	35.2	0.6	2.08-10.92	0.83-3.29	1.12-3.99	-
Kim et al., 2018 [14]	-	HW	R134a	collector/evaporator	24	_	21	7.21	3.4	-
Treichel and Cruickshank,	-	HW	R134a	air-type solar	1.26	0.189	-	-	1.9-2.4	-
Wei et al., 2019 [24]	Beijing, China 40°N	SH	-	heat pipe, evacuated tube, FPC, CPC	0-40	0-4.36	-9	10	-	-
Chen et al., 2022 [25]	Nanjing, China 32°N	SC, SH	-	CPC	927-2519	0-6 MWh	3	1797 MWh	2.09 (SH)	-

#### 1 2. Compound parabolic concentrator-capillary tube solar collector

2 The CPC-CSC is a non-tracking solar collector consisting of CPCs, capillary tubes, a glass cover, insulation layer and baseplate (see Figure 1(a)) [22]. Each CPC unit has an aperture 3 width of 53 mm, a groove depth of 52.5 mm and a concentration ratio of 4.22. The capillary 4 tubes with an outer diameter of 4 mm and an inner diameter of 2 mm are placed and fixed at 5 6 the circle for involute of the CPC. To reflect all the solar radiation to the absorber surface, the diameter of the circle for involute of the CPC concentrator is set to be the outer diameter of the 7 8 capillary tubes. The reflective surface is coated of an aluminium foil layer with a thickness of 0.1 mm and a reflectivity of 0.85. Two copper tubes with an outer diameter of 12 mm and an 9 inner diameter of 10 mm work as the inlet and outlet headers connecting the capillary tubes. 10 The single-layer high-transparent glass cover has a thickness of 4 mm. The four sides and the 11 baseplate of the solar collector are insulated to reduce heat loss. Water is used as the working 12 fluid in the previous experiments. 13



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Figure 1: Structure of CPC-CSC: (a) geometry (b) schematic [22]

19 The CPC concentrator consists of two pairs of symmetrical curves (circle for involute of 20 the CPC and parabola line) with compound rotation (see Figure 1(b)). The circle for involute 21 of the CPC is defined by Eq. (1) for  $0 \le \varphi \le 90^\circ + \theta_A$  [22]:

22 
$$\begin{cases} X = \frac{d}{2}(\sin\varphi - \varphi\cos\varphi) \\ Y = -\frac{d}{2}(\varphi\sin\varphi + \cos\varphi) \end{cases}$$
(1)

23 where  $\varphi$  is the angle between the incident ray and the X-axis. The parabola line is defined by

24 Eq. (2) for 
$$90^\circ + \theta_A \le \varphi \le 270^\circ + \theta_A$$
:

1
$$\begin{cases} X = \frac{d}{2}(\sin \varphi - A^* \cos \varphi) \\ Y = -\frac{d}{2}(A^* \sin \varphi + \cos \varphi) \end{cases}$$
(2)

2 where

3

$$A^* = \frac{\frac{\pi}{2} + \theta_A + \varphi - \cos(\varphi - \theta_A)}{1 + \sin(\varphi - \theta_A)}$$
(3)

4  $\theta_A$  is the aperture angle of the CPC defined by Eq. (4):

5 
$$\theta_A = \sin^{-1}(\frac{1}{CR}) \tag{4}$$

6 where CR is the concentration ratio, given by Eq. (5):

$$CR = \frac{D}{\pi \times d} \tag{5}$$

8 where D is the aperture width and d is the outer diameter of the capillary tube absorber.

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# 10 2.1 Numerical simulation of heat transfer and verification

Numerical simulations are conducted using Ansys-Fluent for three-dimensional heat transfer of CPC-CSC. One of the CPC units is selected for computation. The geometry of the unit is 600 mm x 53 mm x 75 mm. The parameters specifying the CPC model are listed in table where the working fluid is set to be water.

Material	Thickness (mm)	Thermal conductivity (W/m K)	Density (kg/m <sup>3</sup> )	Specific heat (J/kg K)	Thermal expansion coefficient (/K)	Viscosity (µPa s)
Glass	4	0.76	2500	790	-	-
Air	-	0.0267	1.225	1005	0.0033	17
Water	-	0.6	998.2	4182	-	1003
CPC material (ABS plastic)	1	0.25	1050	1591	-	-
Thermal insulation material	10	0.034	54	1500	-	-
Capillary tube material (copper)	1	387.6	8978	381	-	-

 Table 2: Parameters for the CPC-CSC model [22]

The solar thermal energy collection in the solar collectors involves conduction, convection, 1 2 and radiation heat transfer. Convective heat transfer occurs between the outer wall of the capillary tubes and the air layer. The water flow inside the tubes is regarded as a 3D, steady, 3 constant-property, laminar flow. Considering the heat transfer process, governing equations 4 [28] are following: 5

Conservation of mass: 6 div(U) = 0

$$7 \qquad \qquad div(U) = 0 \tag{6}$$

Conservation of momentum: 8

$$div(u\vec{U}) = div(vgradu) - \frac{1}{\rho}\frac{\partial p}{\partial x}$$
(7)

$$div(v\vec{U}) = div(vgradv) - \frac{1}{\rho} \frac{\partial p}{\partial y}$$
(8)

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$$div(w\vec{U}) = div(vgradw) - \frac{1}{\rho} \frac{\partial p}{\partial z}$$
(9)

12 Conservation of energy for heat transfer of air and water flow:

$$div(\vec{U}T) = div(\frac{\lambda}{\rho c_p} gradT)$$
(10)

Conservation of energy for heat transfer in solid: 14

$$\frac{\partial T^2}{\partial x^2} + \frac{\partial T^2}{\partial y^2} + \frac{\partial T^2}{\partial z^2} = 0$$
(11)

For convection of air inside the collector, the Boussinesq assumption is taken to calculate 16 the density. 17

18

$$(\rho_{\rm a} - \rho_{\rm amb})g = -\rho_{\rm amb}\beta_{\rm a}(T - T_{\rm amb})g$$
<sup>(12)</sup>

- where  $\rho_a$  is the air density,  $\rho_{amb}$  is the density of ambient air at its temperature  $T_{amb}$  and  $\beta_a$  is the thermal expansion coefficient of air. 20
- The boundary conditions for the computational domain are: 21
- Upper and lower surfaces at x-z plane y=0,  $H_D$ : the convection boundary condition with 22 air temperature given; 23
- 24 Front and back end surfaces at x-y planes z=0,  $L_D$ : adiabatic condition;
- Left and right surfaces at y-z planes x=0,  $W_D$ : symmetrical boundary condition. 25

Air inside collector: The non-slip boundary conditions are applied to all solid-air
 interfaces.

Water flow in capillary tubes: At the inlet: velocities  $u=u_{in}$ , v=0, w=0; temperature  $T=T_{in}$ ; at the outlet: partial unidirectional condition; The non-slip boundary condition is applied to the solid-water interface.

Since the diameter of the copper capillary tubes are small compared with the size of CPCs
and hence are regarded as a homogeneous body heat source. All the surface temperatures of
solid components are obtained from the coupled numerical simulations of air convection inside
the collector, water flowing inside the capillary tubes and heat conduction in the solids.

To verify the model and numerical simulation, a set of experiments were conducted in Beijing, China. The working conditions of the experiments are listed in table 3. The measurements were conducted, and the experimental data were processed according to Chinese standard for the test methods of solar collectors [29]. The experimental results show good agreements with simulation results [22]. Therefore, the simulated results are used to establish the module in TRNSYS.

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17 Table 3: Experimental conditions [22]

	Solar irradiance (W/m <sup>2</sup> )	Ambient temperature (K)	Air velocity (m/s)	Date of experiments
Set 1	290-1000	288-291	1-2	10. Oct. 2016-20 Nov. 2016
Set 2	920-1000	289-293	1-2	5 Apr. 2017-10 May 2017

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## 19 2.2 Empirical formula and module in TRNSYS

The CPC-CSC works to concentrate solar incidence to the outer surface of the capillary tube and converts solar energy into thermal energy, given by Eq. (13):

$$Q_{\rm SC} = IA - Q_{\rm loss,sc} = cm(T_{\rm out} - T_{\rm in})$$
<sup>(13)</sup>

where *I* is the global solar irradiance on the tilted surface, *A* is the collector area, *m* is the mass flow rate, *c* is the specific heat of the working fluid,  $T_{in}$  and  $T_{out}$  are the temperatures of the working fluid at the inlet and outlet of CPC-CSC.  $Q_{loss,sc}$  is the heat loss from solar collector to ambient air. Following Dickes et al. [30], the heat loss from the CPC-CSC per meter (along the length direction),  $Q_{loss}$ , is calculated by Eq. (14):

28 
$$Q_{\text{loss}} = c_0 + c_1(T_{\text{sc}} - T_{\text{amb}}) + c_2(T_{\text{sc}} - T_{\text{amb}})^2 + c_3 T_{\text{sc}}^3 + I(c_4 \sqrt{\nu_a} + c_5 T_{\text{sc}}^2) + \nu_a [c_6 + c_7(T_{\text{sc}} - T_{\text{amb}})] + \sqrt{\nu_a} [c_8 + c_9(T_{\text{sc}} - T_{\text{amb}})]$$
(14)

1 where  $T_{amb}$  is the temperature of ambient air,  $v_a$  is the wind speed.  $T_{sc}$  is the surface temperature 2 of CPC-CSC, calculated by Eq. (15):

3  $T_{\rm SC} = (T_{\rm in} + T_{\rm out})/2$  (15)

The weather conditions during the heating season in London are summarised in table 4. According to the standards of system control, the inlet water temperature of CPC-CSC ranges from -5 to 80 °C. Therefore, the parameters given in table 5 are selected for numerical simulation using Fluent. The mass flow rate of water is set at 0.23 kg/h, i.e. 7.23 kg/h-m<sup>2</sup>.

9 Table 4: Weather conditions in London

Parameters	Min	Max	Average
Ambient air temperature, °C	-3.00	18.30	6.61
Solar irradiance on titled surface at 51.5°, W/m <sup>2</sup>	0.96	1115.7	199.3
Wind speed, m/s	0.1	14.1	4.23

10

11 Table 5: Weather conditions for numerical simulation

Parameters	Values
Solar irradiance on tilted surface, W/m <sup>2</sup>	100, 400, 700, 1000
Air velocity, m/s	0, 3, 6, 9, 12, 15, 18, 21, 24
Inlet water temperature of solar collector, °C	0, 25, 50, 75
Ambient air temperature, °C	0, 10, 20

12

The simulated results are divided into training group (75%) and test group (25%) to train the empirical correlation and avoid overfitting. The correlation obtained from the training group is expressed in Eq. (16):

16 
$$Q_{\text{loss}} = 0.1458(T_{\text{sc}} - T_{\text{amb}}) + 2.3843 \times 10^{-4}(T_{\text{sc}} - T_{\text{amb}})^2 - 5.8303 \times 10^{-6}T_{\text{sc}}^3 + I(0.0013\sqrt{v_a} + 8.1302 \times 10^{-7}T_{\text{sc}}^2) + v_a[-0.088 + 5.2377 \times 10^{-4}(T_{\text{sc}} - T_{\text{amb}})] + \sqrt{v_a}[0.422 - 0.0104(T_{\text{sc}} - 18)] + T_{\text{amb}}]$$
18 
$$T_{\text{amb}}]$$
(16)

19

The  $R^2$  of the training group is 0.9903 and that of the test group is 0.9915. This suggests the reliability of the empirical correlation. Based on Eqs. (13) and (16), the CPC-CSC module is established in TRNSYS and is named as Type 219. The flow chart for the operation of CPC-CSC module is shown in Figure 2.



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Figure 2: Flow chart for the operation of CPC-CSC module

3 4

## 3. Solar assisted air source heat pump heating system

5 In this work, a CPC-CSC is applied as solar collector to optimise the operation 6 performance of an indirect expansion SAASHP. The SAASHP includes a solar collector loop, 7 a storage-passive heating loop, a dual-source heat pump unit loop and an end use loop, as shown 8 in Figure 3. In the solar collector loop, the CPC-CSC converts solar energy into thermal energy 9 that is stored in TES tank 1. When TES tank 1 has higher hot water temperature, the TES tank 1 provides thermal energy to TES tank 2. If hot water temperature in TES tank 2 is below 50 10 °C, when TES tank 1 has higher water temperature than air temperature, TES tank 1 provides 11 thermal energy to solar-water heat pump (SWHP) and the SWHP works for TES tank 2; or the 12 air source heat pump (ASHP) absorbs thermal energy from ambient air and supplies for TES 13

- 1 tank 2. Details about the operation control of the dual-source indirect expansion SAASHP was
- 2 given in table 6.



Figure 3: Schematic of the SAASHP system

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Operation mode	Temperature range (°C)	e range (°C) Pumps				Valv	ves			ASHP	SWHP		
		P1	P2	P3	P4	V1	V2	V3	V4	V5	V6	_	
Collector-TES 1	$T_2 > T_3, T_{\rm HWS} > 50$	0	Х	Х	Х	0	Х	Х	Х	Х	Х	Х	Х
Collector-TES 1-TES 2	$T_2 > T_3 > 50 > T_{\rm HWS}$	0	0	Х	Х	0	Х	0	Х	Х	х	х	Х
Collector-TES 1–SWHP-TES 2	$T_2 > T_3, T_{\rm amb} < T_3 < 50, T_{\rm HWS} < 50$	0	0	0	Х	0	0	Х	0	0	х	х	0
ASHP-TES 2	$T_{\rm amb} > T_3, T_{\rm HWS} < 50$	х	Х	0	Х	х	Х	Х	0	Х	0	0	Х
TES 1-TES 2	$T_3 > 50 > T_{\rm HWS}$	х	0	Х	Х	Х	Х	0	Х	Х	х	х	Х
TES 1–SWHP-TES 2	$T_{\rm amb} < T_3 < 50, \ T_{\rm HWS} < 50$	х	0	0	Х	Х	0	Х	0	0	х	х	0
SH: TES 2	$T_{\rm indoor} < 18$	х	Х	Х	0	х	Х	Х	Х	Х	х	х	Х
SH: Collector-TES 1	$T_2 > T_3$ , $T_{\rm HWS} > 50$ , $T_{\rm indoor} < 18$	0	Х	Х	0	0	Х	Х	Х	Х	х	х	Х
SH: Collector-TES 1–TES 2	$T_2 > T_3 > 50 > T_{\rm HWS}, T_{\rm indoor} < 18$	0	0	Х	0	0	Х	0	Х	Х	х	х	Х
SH: Collector-TES 1-SWHP-TES 2	$T_2 > T_3, T_{amb} < T_3 < 50, T_{HWS} < 50,$ $T_{indoor} < 18$	0	0	0	0	0	0	Х	0	0	х	Х	0
SH: ASHP-TES 2	$T_{\rm amb} > T_3, T_{\rm HWS} < 50, T_{\rm indoor} < 18$	х	Х	0	0	Х	Х	Х	0	Х	0	0	Х
SH: TES 1-TES 2	$T_3 > 50 > T_{\rm HWS}, T_{\rm indoor} < 18$	х	0	Х	0	х	Х	0	Х	Х	х	х	Х
SH: TES 1-SWHP-TES 2	$T_{\rm amb} < T_3 < 50, \ T_{\rm HWS} < 50, \ T_{\rm indoor} < 18$	х	0	0	0	Х	0	х	0	0	х	х	0

Table 6: The rule-based look-up table for control of the dual-source system operation

2 Note: Collector: Solar collector. TES 1: Water TES tank1. TES 2: Water TES tank 2.

3 O: Pumps, SWHP and ASHP are in operation; Valves are open. X: Pumps, SWHP and ASHP are not in operation; Valves are closed.

#### 1 3.1 Working conditions

The SAASHP system works to provide SH and HW of 300 L/day for a SFH 45 building. The SFH 45 building is modelled according to the parameters in [26]. For weather conditions in London, the space heating periods is determined to be 0-2736 and 7224-8760 hours of the year to ensure indoor air temperature above 15 °C. The heating season is correspondingly set from 1<sup>st</sup> October to 30<sup>th</sup> April. The designed indoor air temperature is  $20 \pm 2$  °C in the heating season. For reference, at a stable indoor air temperature of 20 °C, the peak heating load is 3.53 kW and the average heating load is 1.76 kW.

9 The hot water supply temperature ( $T_{HWS}$ ) is set to be above 50 °C to avoid bacteria [31] 10 and below 80 °C for safety. Hot water temperature at the end use is set at 40 °C to avoid scalding 11 [32].

12

13 3.2 Numerical model in TRNSYS

The numerical simulation is conducted for a typical metrological year, 8760 h, and begins at the middle of the year to observe the continuous heating performance. The simulation time step is set to be 0.017 hour.

The module of CPC-CSC is self-established as mentioned above. For comparison, the collector area of CPC-CSC is set to be the same to that of FPC in [27], 18 m<sup>2</sup>. Then the area of CPC-CSC is set to be 6 m<sup>2</sup>, 9 m<sup>2</sup>, 12 m<sup>2</sup> and 15 m<sup>2</sup> to analyse the influence of solar collector area on the system performance.

To simulate the dual-source heat pump unit, a SWHP module and an ASHP module are used. The parameters of the SWHP module, Type 668, is defined according to the sample file of 30HXC-HP2, Carrier United Technologies and those of the ASHP module, Type 941, is defined based on the sample file of YVAS012, York, Jonson Control. Heating capacities of both modules are set at 8 kW. Details for the module selection and the system connection are shown in Figure 4 and table 7. The parameters that define the models of the components are derived from the experimental data of the component products available in the market.

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2	Table 7: Summary of TRNSYS modules chosen for modelling the components of the heating system and main parameters

Component	Module	Collector area	Parameter	Value
			Inclination angle	51.5°
			Tested flow rate	30 kg/hm <sup>2</sup>
FPC	Type 1b	18 m <sup>2</sup>	Intercept efficiency	0.8
			Efficiency slope	13 kJ/hm <sup>2</sup> k
			Efficiency curvature	0 kJ/hm <sup>2</sup> k <sup>2</sup>
CPC-CSC	Type 219	$6 \ 0 \ 12 \ 15 \ 18 \ m^2$	Inclination angle	51.5°
	(self-written)	0, 9, 12, 13, 18 III	Specific heat of working fluid	4.19 kJ/(kg K)
			Heat loss coefficient	$0.2 \text{ W/(m^2 K)}$
TES tank 1	Type 4a	All	Volume	500 L
			Height	1.175 m
			Heat loss coefficient	$0.2 \text{ W/(m^2 K)}$
TES tank 2	Type 4a	All	Volume	300 L
			height	1 m
			Blower power	0.15 kW
ASHP	Type 941	All	Total air flow rate	1500 l/s
			User defined file	YVAS012, York, Jonson Control
SWHP	Type 668	All	User defined file	30HXC-HP2, Carrier United Technologies



Figure 4: Model of dual-source system using CPC-CSC in TRNSYS

#### 1 3.3 Performance indicators

2 The performance of the heating systems is evaluated by a variety of indicators including the room air temperature, HWS temperature, SPF of the system (SPF<sub>sys</sub>), SPF of the HP 3  $(SPF_{HP})$ , COP of the HP module, and the solar fraction (SF). The room air temperature is an 4 indication whether the heat provision by the heating system meets the heat demand of the 5 6 building. The measured room air temperature is also the quantity that determines the on/off operation of the heating system. The HWS temperature indicates the amount of thermal energy 7 8 stored in the TES tanks and also determines the on/off operation of the SWHP. The SPF<sub>sys</sub> describes the overall performance of the whole heating system over the heating season of the 9 year and is defined by Eq. (17): 10

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$$SPF_{sys} = \frac{\int (Q_{SH} + Q_{HW})dt}{\int W_{tot}dt}$$
 (17)

where  $Q_{\text{SH}}$  and  $Q_{\text{HW}}$  are the thermal energies supplied by the system for SH and HW, respectively, and  $W_{\text{tot}}$  is the total electricity consumed by the HP and all water pumps given by Eq. (18):

$$15 W_{tot} = W_{HP} + W_{pumps} (18)$$

16 where  $W_{\rm HP}$  is the electricity consumed by the HP calculated by Eq. (19):

 $17 \qquad W_{\rm HP} = j_{\rm ASHP} W_{\rm ASHP} + j_{\rm SWHP} W_{\rm SWHP} \tag{19}$ 

where  $W_{ASHP}$  and  $W_{SWHP}$  are the electricity consumed by the ASHP and SWHP, respectively, *j*<sub>ASHP</sub> and *j*<sub>SWHP</sub> have values either 1 or 0 representing on or off operation status of ASHP and SWHP. For serial system, *j*<sub>ASHP</sub> = 0 and *j*<sub>SWHP</sub> = 1. For parallel system, *j*<sub>ASHP</sub> = 1 and *j*<sub>SWHP</sub> = 0. For dual-source system, *j*<sub>ASHP</sub> and *j*<sub>SWHP</sub> can be 1 or 0, depending on their on/off operation status.

The  $SPF_{HP}$  describes the overall performance of a HP over the heating season and is defined by Eq. (20):

25 
$$SPF_{\rm HP} = \frac{\int Q_{\rm HP,con} dt}{\int W_{\rm HP} dt}$$
 (20)

where  $Q_{\text{HP,con}}$  is the heat transferred from the condenser of the HP to water circulating to TES tank 2, given by Eq. (21):

28 
$$Q_{\text{HP, con}} = j_{\text{ASHP}} Q_{\text{ASHP, con}} + j_{\text{SWHP, con}}$$
 (21)

where *Q*<sub>ASHP, con</sub> and *Q*<sub>SWHP, con</sub> are the heat transferred from the condenser of ASHP and SWHP
to water circulating to TES tank 2, respectively.

31 The COP of the HP is defined by Eq. (22):

$$32 \qquad COP = Q_{\rm HP, \, con} / W_{\rm HP} \tag{22}$$

The *SF* of the heating system, the contribution ratio of the solar thermal energy collected
to the system heat provision over the heating season, is defined by Eq. (23):

$$SF = 1 - \frac{\int (Q_{\text{ASHP,con}} + W_{\text{SWHP}}) dt}{\int (Q_{\text{HW}} + Q_{\text{SH}}) dt}$$
(23)

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#### 4. Results and discussion

Numerical simulations for SAASHP using CPC-CSC have been conducted. The obtained
results are compared with those of the SAASHP using FPC to understand the advantages of
CPC-CSC. The effects of collector area of CPC-CSC on system performance are analysed.

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4.1 Comparison for heating systems using concentrated solar collector and flat platecollector

In this section, performance of system using CPC-CSC of 18 m<sup>2</sup> is compared with that of 12 system using FPC of 18 m<sup>2</sup> [27]. Figure 5 shows the variation of indoor air temperature and 13 hot water supply temperature of systems using different solar collectors in heating season. 14 CPC-CSC can realise high temperature and collector efficiency since the capillary tube reduces 15 16 the surface area for heat transfer and thus the heat loss from the surface. In addition, horizontal CPC separates the air layer which reduces the convective heat transfer caused by gravity. In 17 non-heating periods, it is more often for system using CPC-CSC to obtain a higher  $T_{\rm HWS}$  since 18 CPC-CSC can have a better collection efficiency at higher water temperature. In heating 19 periods, the  $T_{\rm HWS}$  of system using CPC-CSC shows less variation than that of system using 20 FPC. This suggests that the high collector efficiency of CPC-CSC benefits for the response 21 speed of the SAASHP to water draw. 22



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Figure 5: Variations of indoor air temperature and hot water temperature at the outlet of TES tank 2 over a heating season.

5 Figure 6 shows the heat provision from ASHP, SWHP and direct solar hot water (SHW) over a heating season. The columns are stacked to represent the total daily heat provision. Using 6 CPC-CSC, heat provision by ASHP, SWHP and direct SHW is 6.4 MWh, 1.9 MWh and 1.7 7 MWh; that for system using FPC is 6.6 MWh, 2.3 MWh, and 1.2 MWh. The results are also 8 summarised in Table 7. Compared with system using FPC, the heat provision from SWHP is 9 significantly reduced by 17.4% using CPC-CSC and the heat provision by ASHP is slightly 10 reduced by 3.0%. Since CPC-CSC can help to obtain higher water storage temperature, more 11 stored hot water in TES tank 1 can be directly used for end use. Using CPC-CSC can apparently 12 increase the heat provision by direct SHW by 41.7%. 13



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Figure 6: Daily variations of heat for SH and HW by direct SHW, ASHP and SWHP over aheating season

Figure 7 shows the daily electricity consumption of ASHP, SWHP and pumps. The 5 columns are stacked to represent the total daily electricity consumption. The electricity 6 7 consumptions by ASHP, SWHP and pumps in system using CPC-CSC are 1.69 MWh, 0.37 8 MWh and 0.28 MWh; those for system using FPC are 1.74 MWh, 0.45 MWh and 0.30 MWh. 9 The results are also summarised in Table 7. Using CPC-CSC can reduce the electricity consumption from all three terms. Since the requirements of pumps to assist SWHP is reduced, 10 though heat provision by SHW is increased, electricity consumption from pumps is reduced in 11 system using CPC-CSC. Overall, compared with the heating system using FPC, the heating 12 system using CPC-CSC can save electricity consumption by 154.5 kWh per year, 123.6 kg 13 14 CO<sub>2</sub> equivalent.



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Figure 7: Daily variations of electricity consumption by ASHP, SWHP and pumps over aheating season

Figure 8 shows the daily variation of thermal energy charged (positive) and discharged (negative) in TES tank 2 over a heating season. It can be observed that the heating system using CPC-CSC has larger capacity of  $Q_{\text{TES}}$  charged in and discharged from TES tank 2. Furthermore, the higher charge and discharge capacities of the TES tank 2 in the system using CPC-CSC reduce the variation frequency of  $Q_{\text{TES}}$ .

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Figure 9 shows the variations of COPASHP and COP SWHP over a heating season. The 4 average *COP*<sub>ASHP</sub> and *COP*<sub>SWHP</sub> are 3.5 and 5.1 in system using CPC-CSC and those in system 5 using FPC are 3.5 and 5.0. Using CPC-CSC leads to high water storage temperature in TES 6 tank 1 and thus ASHP only needs to work at higher  $T_{amb}$ . However, the system using CPC-CSC 7 are easy to have higher condensing temperature for ASHP and thus average COP<sub>ASHP</sub> are the 8 same for both systems. At higher water storage temperature in TES tank 1, thermal energy is 9 provided by SHW directly. Operation of SWHP at higher efficiency is avoided in system using 10 CPC-CSC. Thus, for both systems, COP<sub>SWHP</sub> shows in similar range. 11

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Figure 9: Variations of daily averaged (a) COP<sub>ASHP</sub> and (b) COP<sub>SWHP</sub> over a heating season

Figure 10 shows the variations of *SPF* over a heating season. The *SPF*<sub>ASHP</sub> and *SPF*<sub>SWHP</sub> are 3.8 and 5.2 in system using CPC-CSC and those in system using FPC are 3.8 and 5.1. In most cases, the heating system using CPC-CSC has higher *SPF*<sub>ASHP</sub> and *SPF*<sub>SWHP</sub> than the heating system using FPC. Sometimes, the system using CPC-CSC has a lower value, such as on the 316<sup>th</sup> day (for *SPF*<sub>ASHP</sub>) 383<sup>rd</sup> day (for *SPF*<sub>SWHP</sub>). In the case of low *SPF*<sub>SWHP</sub> for system using CPC-CSC, water storage temperature in TES tank 1 still meet the operation requirement while that in the system using FPC is low for SWHP operation and ASHP works. Therefore, even though the system using CPC-CSC has a low  $SPF_{SWHP}$ , the operation of ASHP are avoided. The overall system efficiency is improved. The heating system using CPC-CSC has a yearly  $SPF_{sys}$  of 4.7 while the heating system using FPC has a yearly  $SPF_{sys}$  of just 4.4. In heating periods, the  $SPF_{sys}$  of the heating system using CPC-CSC is more even than that of the heating system using FPC since system using CPC-CSC relies more on stored solar energy in a stabler range.





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#### 4.2 Effect of area of concentrated solar collector on system performance

The operation performance of the system using CPC-CSC of different areas is compared over a heating season. Figure 11 shows the variations of heat provision from ASHP, SWHP and direct SHW. The columns are stacked to represent the total daily heat provision. The heat provision from ASHP, SWHP and direct SHW are 7.9 MWh, 1.8 MWh and 0.4 MWh when collector area is 6 m<sup>2</sup>; 7.0 MWh, 1.9 MWh and 1.1 MWh when collector area is 12 m<sup>2</sup>; and 6.4MWh, 1.9 MWh and 1.6 MWh when collector area is 18 m<sup>2</sup>. The results are also summarised in Table 7. As collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>, the heat provision by ASHP increases by 23.7% and that by SHW decreases by 46%. At the same time, the heat provision form SWHP slightly decreases by 5.3%. During the non-heating periods, using a collector area of 6 m<sup>2</sup> requires SWHP, even ASHP, for HW while a collector area of 12 m<sup>2</sup> seems sufficient to ensure direct SHW to provide the majority of heat provision.





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Figure 11: Daily variations of heat provision of the heating system using CPC-CSC for SH and HW by direct SHW, ASHP and SWHP over a heating season

10 Figure 12 shows the variations of electricity consumption by ASHP, SWHP and pumps. The columns are stacked to represent the total daily electricity consumption. The electricity 11 consumed by ASHP, SWHP and pumps are 2.08 MWh, 0.37 MWh and 0.30 MWh when 12 collector area is 6 m<sup>2</sup>; 1.84 MWh, 0.37 MWh and 0.29 MWh when collector area is 12 m<sup>2</sup>; and 13 1.69 MWh, 0.37 MWh and 0.28 MWh when collector area is 18 m<sup>2</sup>. The results are also 14 summarised in Table 7. It can be seen that the collector area has mere influence of electricity 15 consumption by SWHP. In terms of ASHP and pumps, decrease in collector area brings more 16 electricity consumption. As collector area decreases, though heat provision from direct SHW 17 decreases, pumps need more frequent for solar collection and thus consumes more electricity. 18 19



Figure 12: Daily variations of electricity consumption by ASHP, SWHP and pumps of the heating system using CPC-CSC over a heating season

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Figure 13 shows the variations of  $Q_{\text{TES}}$  charged (positive) and discharged (negative) of TES tank 2 over a heating season. Smaller collector area leads to less solar energy collection and thus less thermal energy stored in TES tank 1. Therefore, the capacity of  $Q_{\text{TES}}$  charged in and discharged from TES tank 2 decreases relatively as collector area decreases.



Figure 13: Daily variations of  $Q_{\text{TES}}$  charged (positive) and discharged (negative) of the heating system using CPC-CSC over a heating season

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Figure 14 shows the variations of daily COPASHP and COPSWHP over a heating season. 5 The average daily COP<sub>ASHP</sub> is 3.5 for different collector areas while the average daily COP<sub>SWHP</sub> 6 is 4.8, 5.0 and 5.1 for collector area of 6 m<sup>2</sup>, 12 m<sup>2</sup> and 18 m<sup>2</sup>. In most time, the  $COP_{ASHP}$  is 7 almost the same for different collector areas; in late heating periods, the COP<sub>ASHP</sub> increases as 8 collector area decreases. This is because that for larger collector area, water temperature in TES 9 tank 1 is higher and ASHP only needs to work at higher ambient temperature while the 10 condensing temperature is also higher, limiting the efficiency of ASHP, especially in late 11 heating periods. *COP*<sub>SWHP</sub> generally increases as collector area increases due to large increase 12 13 in the temperature of heat source. For a system using CPC-CSC of 6 m<sup>2</sup>, both ASHP and SWHP are more frequently used, even in non-heating periods. 14



Figure 14: Daily variations of averaged COP<sub>ASHP</sub> and COP<sub>SWHP</sub> of the heating system using
 CPC-CSC over a heating season

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Figure 15 shows the variation of SPF of heating system using CPC-CSC of different areas 5 over a heating season. The SPFASHP is 3.8 for different collector areas while the SPFSWHP is 6 4.9, 5.2 and 5.2 for collector area of 6, 12 and 18 m<sup>2</sup>. The seasonal  $SPF_{sys}$  is 3.6, 3.9 and 4.2 7 for collector area of 6, 12 and 18 m<sup>2</sup>. It can be seen that in most time, the  $SPF_{sys}$  for the system 8 9 using different collector areas are generally the same. In late heating period (since the 412<sup>th</sup> day), the SPF<sub>sys</sub> shows apparent difference that the system with CPC-CSC of larger area has a 10 larger SPF<sub>sys</sub>. This is because that as solar availability increases, for larger collector area, more 11 12 heating is provided by direct SHW and SWHP and the efficiency of SWHP increases as well.



Figure 15: Daily variations of  $SPF_{ASHP}$ ,  $SPF_{SWHP}$  and  $SPF_{sys}$  of the heating system using CPC-CSC over a heating season

5 4.3 Effect of solar collector area on yearly operation performance of heating system

6 The effect of collector area on the yearly operation performance of the heating system is 7 analysed for collector areas of 6, 9, 12, 15 and 18 m<sup>2</sup>. Figure 16 shows the variation of yearly 8 heat provision by ASHP, SWHP and SHW of the heating system using CPC-CSC. As collector 9 area decreases, the heat provision by SWHP is merely changed while that by ASHP increases 10 and that by SHW decreases. As collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>, the heat provision 11 by ASHP is increased by 23.7% and that by SHW is decreased by 46.0%.

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Figure 17: Variations of electricity consumption by ASHP, SWHP and pumps of the heating
 system using CPC-CSC over a year

Figure 18 shows the variations of thermal energy collected from solar energy and ambient air. As collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>, thermal energy obtained from air is increased by 23.9%. At the same time, thermal energy obtained from solar energy is decreased by 33.8%. The total thermal energy obtained from clean energy is reduced by 5.8%. Both curves change quickly at low collector areas and then slowly at high collector areas. This suggests that the influence of collector area on system performance tends to be insignificant at large collector areas.



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Figure 18: Variation of thermal energy (Q) extracted from solar energy and ambient air of the
 heating system using CPC-CSC over a year

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Figure 19 shows the variations of solar fraction over a year and that over a heating season. With the decrease of collector area from 18 m<sup>2</sup> to 6 m<sup>2</sup>, seasonal *SF* decreases from 32.7% to 18.9%; yearly *SF* decreases from 42% to 28.1%. The decrease of *SF* is more significant as collector area decreases from 9 m<sup>2</sup> to 6 m<sup>2</sup>, from 23.5% to 18.9% and 33.3% to 28.1% for seasonal and yearly *SF*, respectively.



Figure 19: Variations of yearly and seasonally SF of the heating system using CPC-CSC

Figure 20 shows the variation of yearly averaged  $COP_{ASHP}$  and  $COP_{SWHP}$  of the heating system using CPC-CSC. The  $COP_{ASHP}$  is almost the same for different collector areas, at around 3.5.  $COP_{SWHP}$  is generally around 5.0 but shows a slight decreasing trend as collector area decreases. Especially, as collector area decreases from 9 m<sup>2</sup> to 6 m<sup>2</sup>,  $COP_{SWHP}$  is decreased by 4.0% while as collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>,  $COP_{SWHP}$  is decreased by 5.9%.



Figure 20: Variations of averaged *COP*<sub>ASHP</sub> and *COP*<sub>SWHP</sub> with solar collector area of the
 heating system using CPC-CSC over a year

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Figure 21 shows the variations of *SPF* of the heating system using CPC-CSC. *SPF*<sub>ASHP</sub> remains consistent for different collector areas, at around 3.8. *SPF*<sub>SWHP</sub> keeps even at collector area no smaller than 9 m<sup>2</sup>, at around 5.2. As collector area decreases from 9 m<sup>2</sup> to 6 m<sup>2</sup>, *SPF*<sub>SWHP</sub> decreases by 5.8%. As collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>, seasonal and yearly *SPF*<sub>sys</sub> decrease by 14.3% and 14.9%. Compared with the system in Table 1, this heating system using CPC-CSC achieves acceptable system efficiency, even at lower collector area.



9 Figure 21: Variations of yearly and seasonally SPF<sub>HP</sub> and SPF<sub>sys</sub> of the heating system using
 10 CPC-CSC.

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Details for the operation performance of the SAASHP using CPC-CSC of different areas are listed in table 8. For comparison, performance of SAASHP using FPC of 18 m<sup>2</sup> are also included. It can be seen that, at the same collector area, the yearly solar energy used by the system using CPC-CSC is 306.8 kWh higher than that of system using FPC. For almost same  $SPF_{sys}$ , the area of the CPC-CSC required is 12 m<sup>2</sup> while the area required for the FPC is 18 m<sup>2</sup>, reduced by one third. This benefits to reduce the scale of SAASHP and promote its popularity.

Svs	tem	Period	Using CP	C-CSC				Using FPC	
095	tem	i ciiou	Using CI	eese				compile	
			6	9	12	15	18	18	
Heat provision	HW	Heating season	2238.7	2238.1	2238.0	2237.9	2237.9	2237.7	
(kWh)		Non-heating season	1427.6	1427.5	1427.3	1427.2	1427.2	1427.5	
	SH		7523.9	7522.9	7524.5	7521.6	7520.3	7527.9	
	Total		11190.1	11188.5	11189.8	11186.7	11185.4	11193.1	
Heat provision	SWHP		1802.8	1874.3	1886.7	1881.5	1903.8	2289.1	
(kWh)	ASHP		7942.8	7393.4	6990.3	6679.9	6419.6	6586.6	
	Solar	Heating season	403.9	781.9	1134.2	1423.2	1649.7	1187.6	
		Non-heating season	1299.2	1415.2	1464.9	1494.8	1511.1	1409.4	
Electricity	SWHP		365.1	362.4	365.5	364.7	366.2	449.2	
consumption	ASHP		2083.5	1944.6	1841.3	1757.8	1692.3	1737.7	
(kWh)	Water pumps	Heating season	300.8	293.0	286.7	280.3	275.7	295.0	
		Non-heating season	34.1	35.8	35.5	34.3	34.0	40.7	
	Total		2783.4	2635.8	2529.0	2437.0	2368.1	2522.6	
SPF <sub>HP</sub>	SWHP		4.9	5.2	5.2	5.2	5.2	5.1	
	ASHP		3.8	3.8	3.8	3.8	3.8	3.8	
<b>COP</b> <sub>ave</sub>	SWHP		4.8	5.0	5.0	5.1	5.1	5.0	
	ASHP		3.5	3.5	3.5	3.5	3.5	3.5	
Solar thermal	To SWHP		1437.7	1512.0	1521.1	1516.9	1537.6	1839.9	
energy (kWh)	To end use	Heating season	403.9	781.9	1134.2	1423.2	1649.7	1187.6	
		Non-heating season	1299.2	1415.2	1464.9	1494.8	1511.1	1409.4	
	Total	-	3317.8	3939.4	4376.8	4719.4	5013.5	4706.7	
Thermal energy	from ambient ai	r (kWh)	5859.3	5448.8	5149.0	4922.1	4727.3	4848.9	
SF	Heating season		18.9%	23.5%	27.2%	30.1%	32.7%	31.0%	
	Yearly		28.1%	33.2%	36.8%	39.6%	42.0%	39.6%	
<b>SP</b> <i>F</i> <sub>sys</sub>	Heating season		3.6	3.8	3.9	4.1	4.2	3.9	
	Yearly		4.0	4.2	4.4	4.6	4.7	4.4	

Table 8:	Operation	performance	of the	e IX-SAAS	SHP heating	system	integrating	CPC-	CSC

#### 1 5. Economic Analyses

Economic analysis for SAASHP heating system using CPC-CSC of different areas are
conducted according to the electricity price in UK. *W*<sub>tot</sub> is the total electricity consumption of
the heating systems, given by Eq. (24):

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$$W_{\text{tot}} = (Q_{\text{SH}} + Q_{\text{HW}} - Q_{\text{re}})/\eta$$
 (24)

6 where  $\eta$  is the efficiencies of electric heater and gas boiler,  $Q_{re}$  is the renewable energy used 7 by the system.

*P*<sub>pb</sub> is the payback period of the heating systems against electric heater, calculated by Eq.
(25):

$$P_{\rm pb} = C_{\rm i} / C_{\rm spy} \tag{25}$$

where  $C_i$  is the difference of the initial cost and  $C_{spy}$  is the cost saving per year, obtained by Eqs. (26) and (27).

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$$C_i = C_{i0} - C_{ieh}$$
 (26)

14 
$$C_{\rm spy} = C_{\rm o0} - C_{\rm oeh}$$
 (27)

where  $C_{i0}$  and  $C_{ieh}$  are the initial costs of the heating system and the electric heater,  $C_{o0}$  and  $C_{oeh}$  are the operation costs of the heating system and the electric heater.

The efficiencies of the electric heater and gas boiler are obtained from [33], 0.95 and 0.85. 17 The heat provision of electric heater and gas boiler is set to be the average heat provision of 18 19 the SAASHP heating system. The electricity and gas prices are obtained from E.On Energy (a UK energy suppler) to be £400.2 per MWh and £106.8 per MWh (prices in June 2022) [34]. 20 Currently, the price of CPC collector is around twice of that of ETC [24]. Since CPC-CSC is a 21 new collector in research and development status, price of ETC is adopted to assume CPC-22 CSC price after commercialisation. The prices of electric heater and gas boiler of 8 kW [35], 23 TES tank of 300 L and 500 L [36], water pump with a heat of 10 m [37], flat plate collector 24 [38] and evacuated tube collector [39] are obtained from UK domestic and European sellers. 25 The price of heat pump is assumed based on UK government report [40]. Installation for SHW 26 system is assumed to be 3 hours and that for SAASHP is assumed to be 6 hours. The engineer 27 fee is taken to be £80 per hour [41]. The economic analysis for SAASHP heating system 28 integrating CPC-CSC of different areas is displayed in table 9 according to energy prices in 29 2022. 30 31

		Electric water heater	Gas boiler	Electric heater boosted SHW	Gas boiler boosted SHW	SAASHP (FPC)	SAASHP (CPC-CSC)				
Collector a	rea	-	-	18	18	18	6	9	12	15	18
Heat provis MWh	sion per year,	11.19	11.19	11.19	11.19	11.19	11.19	11.19	11.19	11.19	11.19
Efficiency/	performance	0.95	0.85	0.95	0.85	<i>SPF</i> =4.4	SPF=4.0	<i>SPF</i> =4.2	SPF=4.4	<i>SPF</i> =4.6	<i>SPF</i> =4.7
Energy con year, MWh	sumption per	11.8	13.2	7.2	8.0	2.2	2.5	2.4	2.2	2.1	2.1
Initial	collector	0	0	4230	4230	4230	1620	2430	3240	4050	4860
cost, £	tanks	765	765	2195	2195	2195	2195	2195	2195	2195	2195
	Heater/HP	890	890	890	890	6000	6000	6000	6000	6000	6000
	pumps	0	0	220	220	330	330	330	330	330	330
	Installation	0	0	240	240	480	480	480	480	480	480
	total	1655	1655	7775	7775	13235	10625	11436	12245	13055	13865
Operation of	cost, £	4714.3	1406.5	2881.7	854.7	897.8	999.6	946.3	897.8	853.5	832.8
Cost saving	g per year, £	-	3307.8	1832.6	3859.6	3816.5	3714.7	3768.0	3816.5	3860.8	3881.5
Payback pe	eriod, year	-	-	3.34	1.57	3.03	2.41	2.60	2.77	2.95	3.15

Table 9: Economic analysis for electric heater, direct SHW and SAASHP heating systems based on the energy prices in June, 2022

It can be seen that, though the unit price of FPC is assumed to be 25% cheaper than that 1 of CPC-CSC, at current electricity price, the SAASHP heating system using CPC-CSC can 2 have an 8.6% shorter payback period to the SAASHP heating system using FPC of the same 3 SPF<sub>sys</sub>. For CPC-CSC of different areas, SPF<sub>sys</sub> and the payback period decrease as collector 4 area decrease. As collector area decreases from  $18 \text{ m}^2$  to  $6 \text{ m}^2$ , payback period decreases by 5 23.5%. According to both system performance and economic analyses, for domestic heating of 6 an SFH 45 building in London, it is potential to reduce the required size of CPC-CSC to  $9 \text{ m}^2$ 7 8 and even less. Since solar collector with a smaller size can much easily be adopted for domestic use, using CPC-CSC benefits to wide rollout of SAASHP heating systems for domestic heating. 9

10

#### 11 6. Conclusions

In this work, the solar assisted air source heat pump heating system integrating compound parabolic concentrator-capillary tube solar collector has been modelled and numerically simulated. The operation performance of this heating system are compared with that of the heating system using flat plate collector. The effect of the solar collector size on the operation performance and economics of the heating system have been analysed. The following conclusions can be drawn:

For same SPF<sub>sys</sub>, the size of the CPC-CSC required is 12 m<sup>2</sup> whereas the size of the FPC required is 18 m<sup>2</sup>, leading to one third reduction in solar collector size.

- Compared with system using FPC, the heat provision from SWHP is significantly reduced
   by 17.4% using CPC-CSC and the heat provision by ASHP is slightly reduced by 3.0%.
   heat provision by direct SHW is apparently increased by 41.7%.
- For the heating systems using same size solar collector of 18 m<sup>2</sup>, the CPC-CSC reduces the
   electricity consumption by 154.5 kWh per year (123.6 kg CO<sub>2</sub> equivalent) compared with
   the FPC. Increase in the utilisation of solar energy is 306.8 kWh. Hence, the seasonal
   performance factor is increased from 4.4 to 4.7.
- 4. As collector size decreases, both SPF<sub>sys</sub> and the payback period decreases. As collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>, seasonal and yearly SPF<sub>sys</sub> decrease by 14.3% and 14.9% while payback period decreases by 23.5%. Considering both thermal and economic performances, the size of the concentrated solar collector could potentially be reduced to 9 m<sup>2</sup> or less.
- 5. As collector area decreases from 18 m<sup>2</sup> to 6 m<sup>2</sup>, the heat provision by ASHP increases by
   23.7% and that by SHW decreases by 46%, the electricity consumed by ASHP and the

pumps increase by 23 % and 8.1%. For SWHP, the heat provision slightly decreases by
 5.3% and the electricity consumption is merely influenced.

3

#### 4 Acknowledgements

The authors gratefully acknowledge the financial supports from the Joint PhD Studentship
of China Scholarship Council (CSC) and Queen Mary University of London (201808060459),
National Natural Science Foundation of China (51506004) and the Wuhan Applied
Foundational Frontier Project (No. 2020010601012172).

9

# 10 Nomenclature

11	A	collector area, m <sup>2</sup>
12	Ci	initial cost difference, GBP
13	$C_{ m i0}$	initial cost of the studied system, GBP
14	Cieh	initial cost of the electrical water heater, GBP
15	$C_{ m o0}$	operation cost of the studied system, GBP
16	$C_{oeh}$	operation cost of the electrical water heater, GBP
17	COP	coefficient of performance
18	CR	concentration ratio
19	$C_{ m spy}$	cost saving per year, GBP
20	С	specific heat of working fluid, J/kg-K
21	D	aperture width, mm
22	d	outer diameter of the capillary tube absorber, mm
23	НС	heating capacity, kW
24	$P_{\rm pb}$	payback period, year
25	$Q_{ m ASHP,con}$	heat transferred from the condenser of ASHP, kWh
26	$Q_{ m HP,\ con}$	thermal energy obtained at the condenser of a heat pump, kWh
27	$Q_{ m HW}$	thermal energy for hot water, kWh
28	$Q_{ m loss,\ SC}$	heat loss from solar collector, kWh
29	$Q_{ m loss}$	heat loss from the CPC-CSC per meter (along length), kWh
30	$Q_{ m re}$	renewable energy used in the system, kWh
31	$Q_{ m SC}$	thermal energy obtained by solar collector, kWh
32	$Q_{ m SH}$	thermal energy for space heating, kWh

1	QSWHP, con	heat transferred from the condenser of SWHP, kWh
2	$Q_{ m su}$	solar energy used, kWh
3	$Q_{ m sup}$	thermal energy supply, kWh
4	$Q_{\mathrm{TES}}$	thermal energy storage, kWh
5	Ι	solar irradiance on tilted surface, W/m <sup>2</sup>
6	m	mass flow rate, kg/s
7	SF	solar fraction
8	$SPF_{\rm HP}$	seasonal performance factor of the heat pump
9	$SPF_{sys}$	seasonal performance factor of the system
10	T <sub>amb</sub>	ambient air temperature, °C
11	Tindoor	indoor temperature, °C
12	$T_{\rm in}$	temperature of working fluid at inlet of CPC-CSC, °C
13	T <sub>out</sub>	temperature of working fluid at outlet of CPC-CSC, °C
14	$T_{\rm SC}$	temperature of solar collector, °C
15	$T_{\rm HWS}$	outlet temperature of hot water tank, °C
16	Va	wind speed, m/s
17	W <sub>ASHP</sub>	electricity consumed by air source heat pump, kWh
18	$W_{ m HP}$	electricity consumed by a heat pump, kWh
19	W <sub>pumps</sub>	electricity consumed by pumps, kWh
20	$W_{ m SWHP}$	electricity consumed by solar water heat pump, kWh
21	W <sub>tot</sub>	total electricity consumed, kWh
22		
23	Greek Letter	
24	η	efficiency of electric heater and gas boiler systems
25	arphi	angle between the incidence and the X axis
26	$\theta_{\rm A}$	aperture angle of the CPC
27		
28	Abbreviation	
29	ASHP	air source heat pump
30	CPC	compound parabolic concentrator
31	CSC	capillary-tube solar collector

1	ETC		evacuated-tube collector
2	FPC		flat plate collector
3	HC		heating capacity
4	HW		hot water
5	SAA	SHP	solar-assisted air source heat pump
6	SC		space cooling
7	SFH		single family house
8	SH		space heating
9	SHW	τ	solar hot water
10	SWH	P	heat pump that uses hot water from solar collector as heat source
11	TES		thermal energy storage
12	TRN	SYS	TRaNsient SYstem Simulation program
13			
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